

# A Partition Problem for Sets of Permutation Matrices

Richard A. Brualdi

Department of Mathematics, University of Wisconsin, Madison, WI 53706.

brualdi@math.wisc.edu

Hanley Chiang and Chi-Kwong Li

Department of Mathematics, College of William and Mary, Williamsburg, VA 23185.

hschia@math.wm.edu ckli@math.wm.edu

## 1 Introduction

Consider a set  $\mathcal{P}$  of permutation matrices of order  $n$ . What is the smallest integer  $m$  such that  $\mathcal{P}$  can be partitioned into subsets  $\mathcal{P}_1, \mathcal{P}_2, \dots, \mathcal{P}_m$  such that

$$\sum\{P : P \in \mathcal{P}_i\}, \quad (i = 1, 2, \dots, m)$$

are  $(0,1)$ -matrices? Let  $G(\mathcal{P})$  be a graph with vertex set  $\mathcal{P}$  with an edge joining two permutation matrices  $P, Q \in \mathcal{P}$  provided  $P$  and  $Q$  have a 1 in common (that is, a 1 in the same position). The integer  $m$  equals the chromatic number  $\chi(G(\mathcal{P}))$ . Natural sets  $\mathcal{P}$  of permutation matrices arise by choosing  $A = [a_{ij}]$  to be a  $(0, 1)$ -matrix and

$$\mathcal{P} = \mathcal{P}_A = \{P : P \leq A, P \text{ is a permutation matrix}\}. \quad (1)$$

(Here the inequality  $P \leq A$  is interpreted entrywise.) In this case the sets  $\mathcal{P}_i$  in the partition must satisfy

$$\sum\{P : P \in \mathcal{P}_i\} \leq A.$$

A more restrictive problem requires that

$$\sum\{P : P \in \mathcal{P}_i\} = A \quad (i = 1, 2, \dots, m). \quad (2)$$

If (2) holds, then

$$\sum\{P : P \in \mathcal{P}_A\} = mA,$$

and we say that  $\mathcal{P}_A$  has a *perfect partition*. The cardinality of the set  $\mathcal{P}_A$  equals the *permanent* of  $A$  defined, as usual, by:

$$\text{per}(A) = \sum_{(i_1, i_2, \dots, i_n) \in \mathcal{S}_n} a_{1i_1} a_{2i_2} \cdots a_{ni_n},$$

where the summation is over the symmetric group  $\mathcal{S}_n$  of all permutations of  $\{1, 2, \dots, n\}$ .

Suppose that  $\mathcal{P}_A$  has a perfect partition. Then there are two consequences for the structure of  $A$ . First, there is an integer  $k$  such that all row and column sums of  $A$  equal  $k$ , and this integer  $k$  satisfies the equation  $\text{per}(A) = mk$ . Second, a perfect partition implies that

each 1 of  $A$  belongs to  $m$  permutation matrices  $P \leq A$ , and hence, where  $A(i, j)$  denotes the submatrix of  $A$  obtained by deleting row  $i$  and column  $j$ , that

$$\text{per}A(i, j) = m \text{ if } a_{ij} = 1,$$

that is, the permanent minors of the 1's of  $A$  all equal the same constant  $m$ .

Let  $G_A = G(\mathcal{P}_A)$ . Since the chromatic number of  $G_A$  equals the minimal number of independent sets into which  $\mathcal{P}_A$  can be partitioned, we have

$$\chi(G_A) \geq \frac{\text{per}(A)}{\alpha(G_A)}, \quad (3)$$

where  $\alpha(G_A)$  is the maximal size of an independent set of  $G_A$ . We can have equality in (3) only if  $\alpha(G_A) | \text{per}(A)$ . If  $\mathcal{P}_A$  has a *perfect partition*, then the integer  $m$  in (2) equals  $\chi(G_A)$ . Since  $\chi(G_A)$  is an integer, (3) implies that

$$\chi(G_A) \geq \left\lceil \frac{\text{per}(A)}{\alpha(G_A)} \right\rceil. \quad (4)$$

By a theorem of Folkman and Fulkerson [2] (see also Theorem 6.4.3 in [1]), the independence number  $\alpha(G_A)$  equals

$$\min \left\{ \frac{\text{sum}(A_{kl})}{k+l-n} : k+l > n \right\}$$

where the minimum is taken over all pairs of integers  $k$  and  $l$  with  $n < k+l \leq 2n$  and  $k \times l$  submatrices  $A_{kl}$  of  $A$ , and  $\text{sum}(A_{kl})$  is the sum of the entries of  $A_{kl}$ .

There is a geometrical interpretation of the perfect partition problem. Recall that a necessary condition for the existence of a perfect partition for  $\mathcal{P}_A$  is that the sum of matrices in  $\mathcal{P}_A$  is a multiple of  $A$ . Thus, the average of  $\mathcal{P}_A$ , which can also be viewed as the centroid of the convex hull of  $\mathcal{P}_A$ , has the form  $\gamma A$ . Clearly, every element in  $\mathcal{P}_A$  is an extreme point of the convex hull of  $\mathcal{P}_A$ . (To see this, note that every element  $X$  in  $\mathcal{P}_A$  has the same Frobenius norm  $(\text{trace } XX^t)^{1/2}$  and therefore cannot be written as a convex combination of the others.) If  $A$  has row sums and column sums all equal to  $k$ , then one needs at least  $k$  elements in  $\mathcal{P}_A$  whose average (regarded as the centroid of the convex hull of the  $k$  elements) is equal to  $\gamma A$ ; if the desired partition is a partition of  $\mathcal{P}_A$  in  $k$ -element sets, then each of them has the same average as that of  $\mathcal{P}_A$ .

In the subsequent discussion, let  $J_n$  be the  $n \times n$  matrix of all 1's. In the next section we consider perfect partitions of  $\mathcal{S}_n = \mathcal{P}_{J_n}$  (where we now regard  $\mathcal{S}_n$  as the set of  $n \times n$  permutation matrices) and the alternating group  $\mathcal{A}_n$  of all  $n \times n$  even permutation matrices (permutation matrices with determinant equal to 1). In Section 3, we consider the set  $\mathcal{D}_n = \mathcal{P}_{J_n - I_n}$  of  $n \times n$  *derangement permutation matrices*; we present some partial results and open problems. Additional open questions are discussed in the final section.

## 2 Partitioning $\mathcal{S}_n$ and $\mathcal{A}_n$

We have  $\alpha(J_n) = n$  and  $\text{per}(J_n) = n!$ , and it is easy to show that  $\sum_{X \in \mathcal{S}_n} X = (n-1)!J_n$ . Can we partition  $\mathcal{S}_n$  into  $(n-1)!$  subsets so that the sum of the matrices in each subset is  $J_n$ ? The answer is affirmative.

**Proposition 2.1** *The set  $\mathcal{S}_n = \mathcal{P}_{J_n}$  is a disjoint union of  $(n-1)!$  subsets such that the sum of the matrices in each subset is  $J_n$ . Hence  $\mathcal{S}_n$  has a perfect partition.*

*Proof.* Let  $H = \{I_n, P, \dots, P^{n-1}\}$  where  $P$  is the basic  $n \times n$  circulant matrix

$$\begin{bmatrix} 0 & 1 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 1 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 1 \\ 1 & 0 & 0 & \cdots & 0 & 0 \end{bmatrix}. \quad (5)$$

Then  $H$  is a cyclic group with  $n$  elements whose sum is the matrix  $J_n$ . There are  $(n-1)!$  cosets of  $H$  in  $\mathcal{S}_n$ . Each coset has the form  $QH = \{QP^j : j = 0, \dots, n-1\}$  for some  $Q \in \mathcal{S}_n$ . Clearly, the sum of the matrices in each coset is also the matrix  $J_n$ .  $\square$

Now we consider the group  $\mathcal{A}_n$  of even permutation matrices. We have  $|\mathcal{A}_n| = n!/2$ , and it is not hard to show that  $\sum_{X \in \mathcal{A}_n} X = [(n-1)!/2]J_n$  if  $n \geq 3$ . Can we partition  $\mathcal{A}_n$  into  $(n-1)!/2$  subsets so that the sum of the matrices in each subset is  $J_n$ ? We have the following result.

**Proposition 2.2** *Suppose  $n \geq 3$ . The set  $\mathcal{A}_n$  can be partitioned into  $(n-1)!/2$  subsets so that the sum of the matrices in each subset is  $J_n$ .*

*Proof.* We consider three cases according to  $n$ .

**Case 1.** If  $n \geq 3$  is odd, then the basic circulant matrix  $P$  is in  $\mathcal{A}_n$ . Thus  $H = \langle P \rangle$  is a subgroup of  $\mathcal{A}_n$  with  $(n-1)!/2$  cosets, and the sum of the matrices in each coset is  $J_n$ .

**Case 2.** If  $n = 4k$  for some positive integer  $k$ , we can prove by induction that:

There is a subgroup  $H$  in  $\mathcal{A}_n$  with  $n$  elements whose sum equals  $J_n$ , and hence the cosets of the group  $H$  will be a desired partition.

When  $k = 1$ , let  $H_4$  be the subgroup of  $\mathcal{A}_4$  containing all the elements of order 2 or 0 ( $H_4$  is the 2-Sylow subgroup of  $\mathcal{A}_4$ ). One can readily check that the the sum of the matrices in  $H_4$  sum up to  $J_4$ .

Now, suppose the result is true for  $n = 4k$  for some  $k \geq 1$ . Consider the case when  $n = 4(k+1)$ . By the induction assumption, there is a group  $H_{4k}$  of  $\mathcal{A}_{4k}$  such that the sum of the matrices in  $H_{4k}$  is  $J_{4k}$ . Let  $H = \{A \otimes B : A \in H_4, B \in H_{4k}\}$ , where  $X \otimes Y = (x_{ij}Y)$  denotes the usual tensor product of two matrices. Then  $H$  is a subgroup of  $\mathcal{A}_n$  with  $n = 4(k+1)$  elements whose sum is the matrix  $J_n$ . By induction, our claim is proved.

**Case 3.** Let  $n = 2m$  for some odd integer  $m$ . We consider the subgroup  $K$  of  $\mathcal{A}_n$  consisting of matrices of the form  $A \oplus B$ , where  $A$  and  $B$  are  $m \times m$  permutation matrices. There are  $(m!)^2/2$  such matrices. To see this, if we allow  $A$  and  $B$  to be arbitrary matrices in  $\mathcal{S}_m$ , there will be  $(m!)^2$  such matrices in  $\mathcal{S}_n$ . Since half of them are odd permutations, we see that  $K$  has  $(m!)^2/2$  elements as asserted.

We claim that  $K$  can be partitioned into  $m((m-1)!)^2/2$  subsets such that each subset has  $m$  elements summing up to  $J_m \oplus J_m$ . To this end, let  $P \in \mathcal{S}_m$  be the basic circulant. Let  $G = \langle P \rangle$ , and let  $Q_1G, \dots, Q_rG$  be the cosets of  $G$  in  $\mathcal{S}_m$ , where  $r = (m-1)!$ ,  $Q_1, \dots, Q_{r/2} \in \mathcal{A}_m$  and  $Q_j \notin \mathcal{A}_m$  for  $j > r/2$ .

For each  $i, j = 1, \dots, r/2$ , consider the following  $m$ -element subsets of  $\mathcal{A}_n$ :

$$\begin{aligned} \mathcal{S}_{ij1} &= \{(Q_i \oplus Q_j)(P \oplus P)^k : k = 0, \dots, m-1\}, \\ \mathcal{S}_{ij2} &= \{X(I_m \oplus P) : X \in \mathcal{S}_{ij1}\}, \quad \mathcal{S}_{ij3} = \{X(I_m \oplus P^2) : X \in \mathcal{S}_{ij1}\}, \quad \dots, \\ &\dots, \quad \mathcal{S}_{ijm} = \{X(I_m \oplus P^{m-1}) : X \in \mathcal{S}_{ij1}\}. \end{aligned}$$

We get  $m(r/2)^2$  disjoint  $m$ -element subsets of  $K$ .

Next, for each  $i, j = r/2 + 1, \dots, r$ , consider

$$\begin{aligned} \mathcal{S}_{ij1} &= \{(Q_i \oplus Q_j)(P \oplus P)^k : k = 0, \dots, m-1\}, \\ \mathcal{S}_{ij2} &= \{X(I_m \oplus P) : X \in \mathcal{S}_{ij1}\}, \quad \mathcal{S}_{ij3} = \{X(I_m \oplus P^2) : X \in \mathcal{S}_{ij1}\}, \quad \dots, \\ &\dots, \quad \mathcal{S}_{ijm} = \{X(I_m \oplus P^{m-1}) : X \in \mathcal{S}_{ij1}\}. \end{aligned}$$

We get another  $m(r/2)^2$  disjoint  $m$ -element subsets of  $K$ .

Consequently, we get  $mr^2/2 = m((m-1)!)^2/2$  disjoint  $m$ -element subsets of  $K$ . Moreover, the matrices in each subset sum up to  $J_m \oplus J_m$  as desired.

Now, consider the matrix  $R$  obtained by switching the first two rows of  $\begin{pmatrix} 0_m & I_m \\ I_m & 0_m \end{pmatrix}$ . Then  $R \in \mathcal{A}_m$ . Let

$$H = K \cup \{RX : X \in K\}.$$

One easily checks that  $H$  is the subgroup of  $\mathcal{A}_n$  consisting of matrices of the form

$$\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} 0 & C \\ D & 0 \end{pmatrix}.$$

Moreover, for each set  $\mathcal{S}_{ijk}$  defined above, we may construct

$$T_{ijk} = \mathcal{S}_{ijk} \cup \{RX : X \in \mathcal{S}_{ijk}\}.$$

Then each  $T_{ijk}$  will have  $n = 2m$  elements summing up to  $J_n$ , and these  $T_{ijk}$  form a partition of the subgroup  $H$ .

Now, let  $H, W_1H, W_2H, \dots, W_tH$  be the cosets of  $H$  in  $\mathcal{A}_n$ , where  $t+1 = |\mathcal{A}_n|/|H|$ . Each coset  $W_sH$  is a disjoint union of  $W_sT_{ijk}$ 's, and each  $W_sT_{ijk}$  has  $n$  elements summing up to  $J_n$ .  $\square$

**Corollary 2.3** *The set  $\mathcal{S}_n$  has a perfect partition in which each part of the partition consists of all even permutation matrices or all odd permutation matrices.*

*Proof.* As in the proof of Proposition 2.1, the coset of odd permutations also can be partitioned into sets summing to  $J_n$ .  $\square$

### 3 Partitioning $\mathcal{D}_n = \mathcal{P}_{J_n - I_n}$ : Partial Result

Let  $L_n = J_n - I_n$ . For  $n = 2, \dots, 5$  we show that  $\mathcal{D}_n = \mathcal{P}_{L_n}$  can be partitioned into subsets each with  $n - 1$  matrices that sum to  $L_n$ .

In the following discussion, we identify a permutation  $\sigma$  in disjoint cycle representation with the corresponding permutation matrix in  $\mathcal{S}_n$ . For example,  $(1, 2)(3, 4)$  represents the permutation obtained from the identity matrix by interchanging the first and second rows, and also the third and fourth rows. Then  $\sigma \in \mathcal{S}_n$  is a derangement if and only if  $\sigma(i) \neq i$  for  $i = 1, \dots, n$ . Moreover, the elements in a set of derangements  $\{\sigma_1, \dots, \sigma_{n-1}\} \subseteq \mathcal{D}_n$  sum to  $L_n$  if and only if  $\sigma_r(i) \neq \sigma_s(i)$  for  $r \neq s$  and for all  $i = 1, \dots, n$ . We have the following partial result for the partition problem of  $\mathcal{P}_{L_n}$ .

**Proposition 3.1** *The set  $\mathcal{D}_n$  has a perfect partition if  $n \leq 5$ .*

*Proof.* If  $n = 2$ , then  $\mathcal{D}_n = \{L_n\}$  is a singleton. If  $n = 3$ , then the members of  $\mathcal{D}_n = \{(1, 2, 3), (1, 3, 2)\}$  sum to  $L_n$ .

For  $n = 4$ , a permutation belongs to  $\mathcal{D}_n$  if and only if it is a 4-cycle or a product of two disjoint transpositions. If

$$F_1 = \{(1, 2)(3, 4), (1, 3, 2, 4), (1, 4, 2, 3)\},$$

$$F_2 = \{(1, 3)(2, 4), (1, 2, 3, 4), (1, 4, 3, 2)\},$$

$$F_3 = \{(1, 4)(2, 3), (1, 2, 4, 3), (1, 3, 4, 2)\},$$

then  $\mathcal{D}_4 = \cup_{k=1}^3 F_k$  and the members of each  $F_k$  sum to  $L_4$ .

For  $n = 5$ , a permutation belongs to  $\mathcal{D}_n$  if and only if it is of the form  $(i_1, i_2, i_3, i_4, i_5)$  or  $(i_1, i_2)(i_3, i_4, i_5)$ . Let  $\mathcal{D}'_5 \subset \mathcal{D}_5$  be the set of derangements of the form  $(i_1, i_2, i_3, i_4, i_5)$  and let  $\mathcal{D}''_5 \subset \mathcal{D}_5$  be the set of derangements of the form  $(i_1, i_2)(i_3, i_4, i_5)$ . Observe that  $|\mathcal{D}'_5| = 24$  and  $|\mathcal{D}''_5| = 20$ . We show that  $\mathcal{D}'_5$  and  $\mathcal{D}''_5$  can be partitioned into 6 and 5 subsets, respectively, such that the members of each subset sum to  $L_5$ .

Let  $\tau_1 = (1, 2, 3, 4, 5)$ ,  $\tau_2 = (1, 2, 3, 5, 4)$ ,  $\tau_3 = (1, 2, 4, 3, 5)$ ,  $\tau_4 = (1, 2, 4, 5, 3)$ ,  $\tau_5 = (1, 2, 5, 3, 4)$ , and  $\tau_6 = (1, 2, 5, 4, 3)$ . If  $T_k = \{\tau_k, \tau_k^2, \tau_k^3, \tau_k^4\}$ , then the collection of subsets  $T_1, \dots, T_6$  forms a partition of  $\mathcal{D}'_5$  such that the members of each  $T_k$  sum to  $L_5$ . Now, consider the following subsets of  $\mathcal{D}''_5$ :

$$R_1 = \{(1, 2)(3, 4, 5), (1, 3)(2, 5, 4), (1, 4)(2, 3, 5), (1, 5)(2, 4, 3)\}$$

$$R_2 = \{(2, 1)(3, 5, 4), (2, 3)(1, 4, 5), (2, 4)(1, 5, 3), (2, 5)(1, 3, 4)\}$$

$$R_3 = \{(3, 1)(2, 4, 5), (3, 2)(1, 5, 4), (3, 4)(1, 2, 5), (3, 5)(1, 4, 2)\}$$

$$R_4 = \{(4, 1)(2, 5, 3), (4, 2)(1, 3, 5), (4, 3)(1, 5, 2), (4, 5)(1, 2, 3)\}$$

$$R_5 = \{(5, 1)(2, 3, 4), (5, 2)(1, 4, 3), (5, 3)(1, 2, 4), (5, 4)(1, 3, 2)\}.$$

Then the collection of subsets  $R_1, \dots, R_5$  forms a partition of  $\mathcal{D}'_5$  such that the members of each  $R_k$  sum to  $L_5$ . This completes the partition of  $\mathcal{D}_5$ .  $\square$

The problem of partitioning  $\mathcal{D}_n$  with  $n \geq 6$  is more difficult. In the following, we describe several different approaches we considered.

First, we divide the set  $\mathcal{D}_n$  into subsets according to different cycle decompositions, and we attempt to show that each of these subsets admits a partition into  $(n-1)$ -element subsets with elements summing to  $L_n$ . In particular, when  $n = 5$ , the partition was done in this way. When we apply this idea to  $\mathcal{D}_6$ , we get the following subsets:

$T_1$ : the set of length-6 cycles – 120 elements;

$T_2$ : the set of permutations obtained by the product of a 2-cycle and a 4-cycle – 90 elements;

$T_3$ : the set of permutations obtained by the product of two 3-cycles – 40 elements;

$T_4$ : the set of permutations obtained by the product of three 2-cycles – 15 elements.

For each subset, the sum of its elements (say, denoted by  $X$ ) will be a multiple of  $L_n$  because all of the diagonal entries of  $X$  are zeroes and  $PXP^t = X$  for every permutation matrix  $P$ . However, this approach to partitioning  $\mathcal{D}_n$  fails when  $n = 6$ . One can check that the set  $T_4$  cannot be partitioned into three 5-element subsets such that the elements in each subset sum up to  $L_6$ .

An alternative idea is to select 15 elements  $\tau_1, \dots, \tau_{15}$  from  $T_1$  and construct disjoint subsets

$$U_i = \{\tau_i^j : j = 1, \dots, 5\}$$

so that each of them has elements summing up to  $L_6$ . Note that each  $U_i$  will have two elements in  $T_1$ , two elements in  $T_3$ , and one element in  $T_4$ . If this is done, then we are left with 90 elements in  $T_1$ , the entire set  $T_2$ , and 10 elements in  $T_3$ .

Another scheme is to select one element in  $T_4$  and four elements in  $T_1$  of the form  $\tau_1, \tau_1^{-1}, \tau_2, \tau_2^{-1}$  to form a set whose elements sum up to  $L_6$ . Here is an example:

$$(1, 2)(3, 4)(5, 6), (1, 3, 5, 2, 6, 4), (1, 4, 6, 2, 5, 3), (1, 6, 3, 2, 4, 5), (1, 5, 4, 2, 3, 6).$$

In fact, one can construct 15 sets of such form and use up the 15 elements in  $T_4$  together with 60 elements in  $T_1$ .

It is also possible to use two elements in  $T_3$  and three elements in  $T_1$  to form a set whose elements sum up to  $L_6$ . Here is an example:

$$(1, 2, 3)(4, 5, 6), (1, 3, 2)(4, 6, 5), (1, 4, 2, 5, 3, 6), (1, 5, 2, 6, 3, 4), (1, 6, 2, 4, 3, 5).$$

One can actually construct 20 subsets of this form and use up the 40 elements in  $T_3$  together with 60 elements in  $T_1$ .

One may want to use the two schemes in the last two paragraphs to exhaust the elements in  $T_1$ ,  $T_3$ , and  $T_4$ , but this strategy seems to be impossible. Of course, even if it can be done, one must still partition the elements in  $T_2$  into 18 sets, each of which has elements summing up to  $L_6$ . Here is an example of such a set:

$$(1, 2)(3, 4, 5, 6), (1, 3)(2, 4, 6, 5), (1, 4)(2, 5, 3, 6), (1, 5)(2, 6, 4, 3), (1, 6)(2, 3, 5, 4).$$

It is unclear whether one can construct 18 disjoint subsets of  $T_2$  with the desired property.

Thus, the problem of finding a perfect partition for  $\mathcal{P}_{L_n}$  seems difficult. We close this section with a statement of the problem and some related questions:

**Problem 3.2** For  $n \geq 6$ , is there a perfect partition for  $\mathcal{P}_{L_n}$  or  $\mathcal{P}_{L_n} \cap \mathcal{A}_n$ ?

**Problem 3.3** For  $n \geq 6$ , is there a perfect partition for the collection of permutations in  $\mathcal{P}_{L_n}$  with some specific cycle decomposition?

For example, can the set of permutations obtained by the product of a 2-cycle and an  $(n - 2)$ -cycle be partitioned into subsets such that the elements of each subset sum up to  $L_n$ ? The answer is no for  $n = 4$ , yes for  $n = 5$ , and unknown for  $n \geq 6$ .

## 4 Additional Problems

We continue to use  $P$  to denote the basic circulant as defined in (5). Note that  $J_n = \sum_{k=0}^{n-1} P^k$  and  $L_n = \sum_{k=1}^{n-1} P^k$ . For any subsets  $K \subseteq \{0, 1, \dots, n - 1\}$ , let

$$P_K = \sum_{k \in K} P^k.$$

A general question is:

**Problem 4.1** Determine  $K \subseteq \{0, 1, \dots, n - 1\}$  so that  $\mathcal{P}_{P_K}$  (respectively,  $\mathcal{P}_{P_K} \cap \mathcal{A}_n$ ) has a perfect partition.

By the results in the previous sections, we see that both problems in Problem 4.1 have affirmative answers if  $|K| = n$ . If  $|K| = 1$ , then both problems also have affirmative answers trivially. If  $|K| = 2$ , then we have the following proposition.

**Proposition 4.2** *Let  $A = I_n + P^k$  with  $0 < k < n$ . Then  $\mathcal{P}_A$  admits a perfect partition.*

*Proof.* Write  $P^k$  in disjoint cycle notation. There are two cases.

**Case 1.** If  $(n, k)$  is relatively prime, then  $P^k$  is just one long cycle, and  $I_n$  and  $P^k$  are the only two elements in  $\mathcal{P}_A$ , which admits a trivial perfect partition.

**Case 2.** If  $d > 1$  is the greatest common divisor of  $n$  and  $k$ , and  $m = n/d$ , then  $P^k$  is the product of  $d$  cycles of length  $m$ . Now, we can rewrite  $A = I_n + P^k$  as the direct sum of  $d$

$m \times m$  matrices, each of which is  $I_m + Q$ , where  $Q$  is the  $m \times m$  basic circulant. In this form, one readily checks that  $X \in \mathcal{P}_A$  if and only if  $X = X_1 \oplus \cdots \oplus X_d$  such that  $X_j \in \{I_m, Q\}$ . Thus, there are  $2^d$  matrices in  $\mathcal{P}_A$ . Moreover,  $\mathcal{P}_A$  has a perfect partition consisting of sets of the form  $\{X, A - X\}$  with  $X \in \mathcal{P}_A$ .  $\square$

If  $|K| = n - 1$ , we basically have the  $\mathcal{P}_{L_n}$  problem, and we only have partial results. If  $|K| = 3$ , even the necessary condition for a perfect partition may not hold. Here is an example which can be verified readily.

**Example 4.3** *For  $n = 5$  there are 13 matrices in  $\mathcal{P}_A$  for  $A = I_n + P + P^2$  or  $A = I_n + P^2 + P^3$ . In either case, a perfect partition is impossible.*

Note that in general, if  $|K| = n - 2$ , then  $P_K = J_n - P^r - P^s$ . Replacing  $P_K$  by  $P^j P_K$  for a suitable  $j \in \{0, \dots, n - 1\}$ , we may assume that  $(r, s) = (-l, l)$  with  $1 \leq l \leq n/2$ , or  $(r, s) = (0, 1)$ . For example, for  $n = 5$ , we only need to consider the cases in Example 4.3.

If  $n$  is even and  $(r, s) = (-l, l)$  with  $1 \leq l \leq n/2$ , then up to a permutation equivalence, i.e., replace  $A$  by  $RAS$  for some suitable  $R, S \in \mathcal{S}_n$ , we can assume that  $P_K = J_n - (I_{n/2} \otimes J_2)$ , which can be viewed as a generalization of  $L_n$ . In general, if  $n = km$ , we consider  $L_{n,k} = J_n - (I_m \otimes J_k)$ . We have the following.

**Proposition 4.4** *Suppose  $n \geq 4$  and  $n = km$ . Then  $\text{per}(L_{n,k})$  is a multiple of  $(n - k)$ . Moreover, if  $n > k \geq 2$ , then  $|\mathcal{P}_{L_{n,k}} \cap \mathcal{A}_n| = \text{per}(L_{n,k})/2$  is also a multiple of  $(n - k)$ .*

*Proof.* Use Laplace expansion about the first row of  $L_{n,k}$ . Note that all of the submatrices of  $L_{n,k}$  obtained by deleting the first row and  $j$ th column with  $k < j \leq n$  are permutationally equivalent and have the same permanent, say,  $r$ . Thus,  $\text{per}(L_{n,k}) = (n - k)r$ .

Next, suppose  $n > k \geq 2$ . Then for each  $\sigma \in \mathcal{P}_{L_{n,k}}$ , we have  $(1, 2)\sigma \in \mathcal{P}_{L_{n,k}}$ , and either  $\sigma$  or  $(1, 2)\sigma$  is an even permutation. Thus, half of the elements in  $\mathcal{P}_{L_{n,k}}$  belong to  $\mathcal{A}_n$ . Next, consider the Laplace expansion of  $\text{per}(L_{n,k})$  as in the first paragraph of the proof. We claim that  $r$  is even. To this end, suppose  $A$  is obtained from  $L_{n,k}$  by deleting its first row and  $(k + 1)$ st column. Note that for any permutation  $\sigma \in \mathcal{P}_A$ , we have  $\sigma(1, 2) \in \mathcal{P}_A$ , and either  $\sigma$  or  $\sigma(1, 2)$  is an even permutation (in  $\mathcal{S}_{n-1}$ ). Thus,  $|\mathcal{P}_A| = r$  is even. Consequently,  $|\mathcal{P}_{L_{n,k}} \cap \mathcal{A}_n| = \text{per}(L_{n,k})/2 = (n - k)(r/2)$  is also a multiple of  $(n - k)$ .  $\square$

Note that the second assertion of the above proposition is not valid for  $\mathcal{P}_{L_n}$ . As shown in Section 3, the number of even and odd permutations in  $\mathcal{P}_{L_n}$  may be different:

n :	3	4	5	6
$ \mathcal{P}_{L_n}  :$	2	9	44	265
$ \mathcal{P}_{L_n} \cap \mathcal{A}_n  :$	2	3	24	130



Nevertheless, for  $(n, k) = (3, 1), (4, 1), (5, 1)$  it is not hard to find a perfect partition for  $\mathcal{P}_{L_n} \cap \mathcal{A}_n$ ; see the results in the last section. In general, we have the following.

**Problem 4.5** Determine whether there is a perfect partition for  $\mathcal{P}_{L_{n,k}}$  (respectively,  $\mathcal{P}_{L_{n,k}} \cap \mathcal{A}_n$ ).

Notice that finding a perfect partition for  $\mathcal{P}_{L_{n,n/2}}$  is the same as finding a perfect partition for  $\mathcal{P}_A$  with  $A = J_{n/2} \oplus J_{n/2}$ . Examining Case 3 in the proof of Proposition 2.2, we have the following.

**Proposition 4.6** *Suppose  $n$  is even. There is always a perfect partition for  $\mathcal{P}_{L_{n,n/2}}$  (respectively,  $\mathcal{P}_{L_{n,n/2}} \cap \mathcal{A}_n$ ).*

Answering Problem 4.5 for other values of  $(n, k)$  is not so easy. For  $(n, k) = (6, 2)$ , we have an affirmative answer.

**Proposition 4.7** *There is a perfect partition for  $\mathcal{P}_{L_{6,2}}$ .*

*Proof.* Let  $L_{6,2} = (A_{ij})_{1 \leq i, j \leq 3}$ , where  $A_{ii} = 0_2$  for  $i = 1, 2, 3$ , and  $A_{ij} = J_2$  for  $i \neq j$ . We first show that  $|\mathcal{P}_{L_{6,2}}| = 80$ . Every permutation matrix in  $\mathcal{P}_{L_{6,2}}$  is determined by selecting exactly one nonzero entry from each row and column of  $L_{6,2}$ . Consider the number of ways to construct a matrix  $X \in \mathcal{P}_{L_{6,2}}$  if the 1 in the  $(1, 3)$  position of  $L_{6,2}$  is selected to be in  $X$ . In the following discussion, a nonzero entry of  $L_{6,2}$  is said to be *available* if no other nonzero entry in its row or column has been selected to be in  $X$ . We consider two cases, depending on the nonzero entry selected from the second row of  $L_{6,2}$ .

**Case 1.** If the  $(2, 4)$  entry of  $L_{6,2}$  is selected to be in  $X$ , then the remaining four nonzero entries of  $X$  must be obtained by selecting two nonzero entries each from the  $A_{23}$  and  $A_{31}$  submatrices of  $L_{6,2}$ . The nonzero entries from each of these two submatrices can be selected in one of two ways: either entirely on the submatrix diagonal or entirely off of the submatrix diagonal. Thus, there are  $2 \times 2 = 4$  possible ways to construct  $X$  in this case.

**Case 2.** If the  $(2, 4)$  entry of  $L_{6,2}$  is not selected, then there are two available nonzero entries in the second row of  $L_{6,2}$  that can be selected; both choices lie in  $A_{13}$ . Each choice sequentially forces the selection of one of two available nonzero entries each from the  $A_{23}$ ,  $A_{21}$ , and  $A_{31}$  submatrices, thereby determining the final selection of the only available nonzero entry from the  $A_{32}$  submatrix. Thus, there are  $2^4 = 16$  ways to construct  $X$  in this case.

Combining the two preceding cases, there are  $4 + 16 = 20$  matrices in  $\mathcal{P}_{L_{6,2}}$  with a 1 in the  $(1, 3)$  position. By analogous arguments, one can show that there are 20 matrices in  $\mathcal{P}_{L_{6,2}}$  with a 1 in the  $(1, k)$  position for  $k = 4, 5, 6$ . Thus,  $|\mathcal{P}_{L_{6,2}}| = 4 \times 20 = 80$ .

Next, we show that  $\mathcal{P}_{L_{6,2}}$  admits a perfect partition. Let  $T_2 \in \mathcal{S}_2$  correspond to the permutation  $(1, 2)$ , and let  $R_3 \in \mathcal{S}_3$  correspond to the permutation  $(1, 3, 2)$ . Let

$$W = \{R_3 \otimes I_2, R_3 \otimes T_2, R_3^t \otimes I_2, R_3^t \otimes T_2\}.$$

Then  $W$ ,  $(3,4)W$ ,  $(5,6)W$ , and  $(3,4)(5,6)W$  are disjoint subsets of  $\mathcal{P}_{L_{6,2}}$  such that the matrices in each subset sum up to  $L_{6,2}$ , and each of the four subsets contains exactly one matrix from Case 1 above.

The remaining 64 matrices in  $\mathcal{P}_{L_{6,2}}$  can be partitioned as follows. Recall that each of the 16 matrices  $X_1, \dots, X_{16}$  from Case 2 above has exactly one nonzero entry from every  $A_{ij}$  in  $L_{6,2}$  with  $i \neq j$ . Now, we associate each matrix  $X_r$  from Case 2 with three other matrices  $X_{r,2}$ ,  $X_{r,3}$ , and  $X_{r,4}$  in  $\mathcal{P}_{L_{6,2}}$  as determined in the following manner:

$X_{r,2}$ : From each nonzero  $A_{ij}$ , select the entry horizontally adjacent to the entry that was selected to be in  $X_r$ .

$X_{r,3}$ : From each nonzero  $A_{ij}$ , select the entry vertically adjacent to the entry that was selected to be in  $X_r$ .

$X_{r,4}$ : From each nonzero  $A_{ij}$ , select the entry diagonal to the entry that was selected to be in  $X_r$ .

Then we have 16 disjoint sets of the form  $\{X_r, X_{r,2}, X_{r,3}, X_{r,4}\}$  such the matrices in each set sum up to  $L_{6,2}$ . For example, the following four matrices in  $\mathcal{P}_{L_{6,2}}$  constitute a set in the partition:

$$X_r = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \end{pmatrix}, \quad X_{r,2} = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$X_{r,3} = \begin{pmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}, \quad X_{r,4} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{pmatrix}.$$

None of the 64 matrices partitioned into sets of the form  $\{X_r, X_{r,2}, X_{r,3}, X_{r,4}\}$  were previously used up in sets of the form  $\sigma W$ , because all matrices belonging to sets of the form  $\sigma W$  in the partition have either two or zero entries from each nonzero  $A_{ij}$  in  $L_{6,2}$ . We thus have a perfect partition of  $\mathcal{P}_{L_{6,2}}$ .  $\square$

We close the paper with some general questions.

**Problem 4.8** For which  $n \times n$   $(0,1)$ -matrices  $A$  does  $\mathcal{P}_A$  have a perfect partition?

Note that such matrices  $A$  must be regular, and if  $k$  is the constant row and column sum,  $k$  must be a factor of the permanent of  $A$ . In addition, the permanent minors of the 1's of  $A$  are constant.

**Problem 4.9** Determine a good upper bound on the chromatic number  $\chi(G_A)$  of the permutation graph of a regular matrix  $A$ . More specifically, find a constant  $c_n$  such that

$$\chi(G_A) \leq c_n \left\lceil \frac{\text{per}(A)}{k} \right\rceil.$$

An even more general problem is the following.

**Problem 4.10** Let  $\mathcal{P} = \{P_i : i \in I\}$  be a set of permutation matrices of order  $n$ . Let  $\mathcal{A}$  be a multiset of  $(0, 1)$ -matrices of order  $n$ . When is there a partition of  $I$  into sets  $I_1, I_2, \dots, I_m$  such that the matrices  $\sum\{P_j : j \in I_i\}$ , ( $i = 1, 2, \dots, m$ ), are the matrices in  $\mathcal{A}$ , including multiplicities?

The problem discussed in this paper concerns sets of permutation matrices  $\mathcal{P}_A$  where  $A$  is a  $(0, 1)$ -matrix and  $\mathcal{A}$  is the multiset consisting of  $A$  with a certain multiplicity.

## References

- [1] R.A. Brualdi and H.J. Ryser, *Combinatorial Matrix Theory*, Cambridge, 1991.
- [2] J. Folkman and D.R. Fulkerson, Edge colorings in bipartite graphs, *Combinatorial Mathematics and Their Applications* (R.C. Bose and T. Dowling, eds.), University of North Carolina Press, Chapel Hill, 561-577.