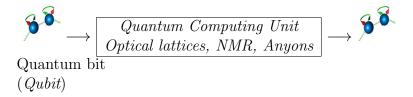
### 1. Quantum Information Science Research

#### Basic model



- Design a quantum process to use quantum properties to get useful information for a given problem.
- Choose a *suitable* quantum system to build the hardware.
- Prepare the initial (entangled) quantum states.
- Create a suitable environment for the quantum system to *evolve* according to quantum mechanical rules.
- Apply a suitable *measurement* to extract useful information.

### Remarks

- In physics labs, one would prepare quantum states, manipulate them with quantum operations and measure the output states, where measuring quantum states is also a quantum operation.
- Mathematical theory is needed to help model and design the process.
- Computer Science theory is needed to develop the computation and communication algorithms.
- Knowledge in engineering, material science, chemistry, etc. are needed to build the system.
- We are interested in the mathematical theory.

#### 2. Mathematical framework and notation

- Quantum states with n measurable states are represented as complex unit vectors  $v \in \mathbb{C}^n$ .
- One does not distinguish v and  $e^{it}v$  for any  $t \in \mathbb{R}$ .
- The conjugate transpose of  $v \in \mathbb{C}^n$  and  $A \in M_{m,n}$  are denoted by  $v^{\dagger}$  and  $A^{\dagger}$ , where  $M_{m,n}$  is the set of  $m \times n$  complex matrices.
- In physics literature, one uses the bracket notation for v and  $v^{\dagger}$ , namely,  $|v\rangle$  and  $\langle v|$ .
- For example, a photon has two measurable states so that it is represented by vectors in  $\mathbb{C}^2$ .
- Upon measurement, one only sees  $|e_1\rangle$  or  $|e_2\rangle$ . Sometimes, written as  $|\uparrow\rangle$ ,  $|\rightarrow\rangle$ .
- A general quantum state has the form  $a_1|e_1\rangle + a_2|e_2\rangle$  with probability of  $|a_1|^2$  in  $|e_1\rangle$  and  $|a_2|^2$  in  $|e_2\rangle$ .
- We say that the quantum state is a *superposition* of its measurable states.
- Schrödinger cat interpretation of superposition...
- One may have a different measuring "frame" or "basis", say,  $|f_1\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} 1\\1 \end{bmatrix}, |f_2\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} 1\\-1 \end{bmatrix}$ , and the superposition has the form  $b_1|f_1\rangle + b_2|f_2\rangle$ .
- Quantum operations on a closed system with vector states in  $\mathbb{C}^n$  are unitary matrices U, i.e.,  $U^{\dagger}U = I_n$ .

# Basic linear algebra [Nakahara and Ohmi, Chapter 1]

- Complex vectors.
- Inner product structure.
- Orthogonal and orthonormal vecotrs.
- Schur Triangularization Lemma.
- Special class of matrices: Hermitian, positive semidefinite, unitary, normal matrices.
- Spectral theorem of diagonalizable and normal matrices.

## 3. Quantum Mechanics

Here are the basic postulates of quantum mechanics in terms of vector states.

# Copenhagen interpretation

- A1 A vector state  $|x\rangle$  is a unit vector in a Hilbert space  $\mathcal{H}$  (usually  $\mathbb{C}^n$ ). Linear combinations (superposition) of the physical states are allowed in the state space.
- A2 Every physical quantity (observable) corresponds to a Hermitian operator (matrix)  $A \in M_2$  such that A has orthonormal eigenvectors  $|u_1\rangle$  and  $|u_2\rangle$ . Suppose a state  $|x\rangle = c_1|u_1\rangle + c_2|u_2\rangle$ . Then applying a measurement of  $|x\rangle$  corresponding to A will cause the **wave function** (that describes the quantum state) to **collapse** to  $|u_1\rangle$  or  $|u_2\rangle$  with probability of  $|c_1|^2$  and  $|c_2|^2$ , respectively. Here  $c_1, c_2$  are called the probability amplitude of the state  $|x\rangle$ .
- A3 The time dependence of a state is governed by the Schrödinger equation

$$i\hbar \frac{\partial |x\rangle}{\partial t} = H|x\rangle,$$

where  $\hbar$  is the Planck constant with

$$\hbar = 6.62607004 \times 10^{-34} m^2 kg/s,$$

and H is a Hermitian operator (matrix) corresponding to the energy of the system known as the Hamiltonian.

## Multipartite systems

- Suppose  $|v_1\rangle \in \mathbb{C}^m, |v_2\rangle \in \mathbb{C}^n$  are quantum state. Then the  $|v_1\rangle \otimes |v_2\rangle = |v_1v_2\rangle$  is a **composite state** (uncorrelated state) in the bipartite system.
- For example,  $|v_1\rangle = \begin{bmatrix} a_1 \\ a_2 \end{bmatrix}, |v_2\rangle = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}$ , then

$$|v_1\rangle \otimes |v_2\rangle = |v_1\rangle |v_2\rangle = |v_1v_2\rangle = \begin{bmatrix} a_1|v_2\rangle \\ a_2|v_2\rangle \end{bmatrix} = \begin{bmatrix} a_1b_1 \\ a_1b_2 \\ a_2b_1 \\ a_2b_2 \end{bmatrix}.$$

- A state  $|v\rangle \in \mathbb{C}^m \otimes \mathbb{C}^n$  is **entangled** if it is not a composite state.
- The orthonormal basis  $\{|00\rangle, |01\rangle, |10\rangle, |11\rangle\}$  for  $\mathbb{C}^4 = \mathbb{C}^2 \otimes \mathbb{C}^2$  consists of decomposable states.
- The orthonormal basis

$$\left\{ \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle), \ \frac{1}{\sqrt{2}}(|00\rangle - |11\rangle), \\ \frac{1}{\sqrt{2}}(|01\rangle + |10\rangle), \ \frac{1}{\sqrt{2}}(|01\rangle - |10\rangle) \right\}$$

consists of entangled states known as Bell states.

- Suppose an observable corresponds to the Hermitian matrix with eigenvectors  $|00\rangle, |01\rangle, |10\rangle, |11\rangle,$  say, H = diag(3/2, 1/2, -1/2, -3/2).
  - Then the measurement of  $\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$  will yield  $|00\rangle$  or  $|11\rangle$  each with 50%.

In particular, the first Schrödinger cat is alive (dead) if and only if the second one is alive (dead).

- We can construct **multipartite system** from k systems to get  $\mathbb{C}^{n_1} \otimes \cdots \otimes \mathbb{C}^{n_k} = \mathbb{C}^{n_1 \cdots n_k}$ .
- $\bullet$  For example,  $\mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \mathbb{C}^2$  is a 3 qubit system.

# Quantum operations on multipartite systems

We focus on qubit systems.

• Local (unitary) operations. If  $U_1, U_2 \in M_2$  are unitary, then  $U_1 \otimes U_2$  is unitary

$$(U_1 \otimes U_2)|v_1v_2\rangle = |U_1v_1\rangle|U_2v_2\rangle.$$

- General  $U \in M_4$  is a product of local unitary gates  $U_1 \otimes U_2$  and controlled unitary gates of the form  $I_2 \oplus V$  and  $V \oplus I_2$ .
- $\bullet$  Proof. Let U be unitary.

Find  $P_1 = U_1 \otimes V_1$  so that  $P_1 U$  has zero (4,1) entry.

Find  $P_2 = U_2 \oplus I_2$  so that  $P_2P_1U$  has zero (4,1) and (2,1) entry.

Find  $P_3 = U_3 \otimes I_2$  so that the first column of  $P_3P_2P_1U$  is  $(1,0,0,0)^t$ . Then  $P_2P_1 = [1] \oplus B$ .

Find  $P_4 = I_2 \oplus V_4$  such that  $P_4P_3P_2P_1U$  has zero (3,2) entry.

Find  $P_5 = U_5 \otimes I_2$  such that  $P_5 \cdots P_1 U = I_2 \oplus V_6$ . If  $P_6 = I_2 \oplus V_6^{\dagger}$ , then  $U = P_1^{\dagger} \cdots P_6^{\dagger}$ .

• We can represent the operations on a circuit diagrams, and implement the operations using a quantum computers.

See [Nakahara and Ohmi, Chapter 4].

- We only need to check the actions of the quantum operations on measurable states, say,  $|000\rangle, |001\rangle, \dots, |111\rangle$ .
- The standard gates and basic gates might vary from different quantum computer.
- We are working on a research project requiring a decomposition of a unitary  $U \in M_8$  into simple unitary gates.

### Mixed states and density matrices

A system is in a mixed state if there is a probability  $p_i$  that the system is in state  $|x_i\rangle$  for  $i=1,\ldots,N$ .

If N = 1, then the system is in pure state.

Consider an observable corresponds to the Hermitian matrix A.

- The mean value of the quantum system with quantum state  $|x\rangle$  is given by  $\langle A \rangle = \langle x|A|x\rangle$ .
- The mean value of the quantum system with a mixed state  $\sum_{j=1}^{N} p_j |x_j\rangle$  is given by

$$\langle A \rangle = \sum_{j=1}^{N} p_j \langle x_j | A | x_j \rangle = \operatorname{tr}(A\rho) = \operatorname{tr}(\rho A),$$

where

$$\rho = \sum_{j=1}^{N} p_j |x_j\rangle \langle x_j|$$

is a density operator (matrix).

### Description of quantum systems in mixed states.

- A1' A physical state is specified by a density matrix  $\rho: \mathcal{H} \to \mathcal{H}$ , which is positive semidefinite with trace equal to one.
- A2' The mean value of an observable associate with the Hermitian matrix A is  $\langle A \rangle = \operatorname{tr}(\rho A)$ .
- A3' The temporal evolution of the density matrix is given by the Liouville-von Neumann equation

$$i\hbar \frac{d}{dt}\rho = [H, \rho] = H\rho - \rho H,$$

where H is the system Hamiltonian.

# Multipartitle systems

• Let  $\rho_1 \in M_{n_1}, \rho_2 \in M_{n_2}$  be mixed states. Then

$$\rho_1 \otimes \rho_2 \in M_{n_1} \otimes M_{n_2} \equiv M_{n_1 n_2}$$

is a composite (uncorrelated) state in the bipartite system.

- General states  $\rho$  in  $M_{n_1} \otimes M_{n_2}$  are density matrices in  $M_{n_1n_2}$ .
- Let  $\rho$  be a density matrix in the bipartite system  $M_{n_1} \otimes M_{n_2}$ . It is **separable** if it is a probabilistic (convex) combination of composite state, i.e.,

$$\rho = \sum_{j=1}^{N} p_j \sigma_j \otimes \tau_j$$

with quantum states  $\sigma_i \in M_{n_1}, \tau_i \in M_{n_2}$ .

- Otherwise, it is **entangled**.
- Note that  $\rho$  is always a linear combination of composite states.
- Checking whether a state is separable is an NP-hard problem.
- A common test is to use the **partial transposes** defined by

$$(\rho_1 \otimes \rho_2)^{pt_1} = \rho_1^t \otimes \rho_2, \ (\rho_1 \otimes \rho_2)^{pt_2} = \rho_1 \otimes \rho_2^t.$$

- If  $\rho$  is separable, then the partial transposes are also quantum states, i.e., positive semi-definite.
- If  $\rho$  is a state such that  $\rho^{pt_1}$  is positive semidefinite, we say that  $\rho$  is a ppt (positive partial trace) state.
- One can extend the concepts to multipartite systems.

### Partial traces

• If  $\rho$  is quantum state in the bipartite system  $M_{n_1} \otimes M_{n_2}$ , the partial traces of  $\rho$  are defined by

$$\operatorname{tr}_{1}(\rho_{1} \otimes \rho_{2}) = \operatorname{tr}(\rho_{1})\rho_{2} = \rho_{2} \in M_{n_{2}},$$
  
$$\operatorname{tr}_{2}(\rho_{1} \otimes \rho_{2}) = \rho_{1}\operatorname{tr}(\rho_{2}) = \rho_{1} \in M_{n_{1}}.$$

- One may regard  $\rho_1$  lies in the principal system, and  $\rho_2$  is the environment.
- There are many problems concerning partial traces.
  - \* Given quantum states  $\rho_1 \in M_m, \rho_2 \in M_n$ , determine

$$S(\rho_1, \rho_2) = \{ \rho \in D_{n_1 n_2} : \operatorname{tr}_1(\rho) = \rho_2, \operatorname{tr}_2(\rho) = \rho_1 \}.$$

- \* Find a quantum state in  $S(\rho_1, \rho_2)$  with lowest rank.
- \* Find a quantum state in  $S(\rho_1, \rho_2)$  with the lowest von Neumann entropy

$$S(\rho) = -\operatorname{tr} \rho \log \rho.$$

- \* Find all possible eigenvalues of  $\rho \in \mathcal{S}(\rho_1, \rho_2)$ .
- One can extend the concepts to multipartite systems.

## Research questions about quantum states

## Quantum State tomography

Determine  $\rho = (\rho_{ij})$ .

• For a Hermitian matrix  $A = (a_{ij})$ , we can determine  $\operatorname{tr}(a_{ij})X$  for  $X \in \mathcal{B}$ , where

$$\mathcal{B} = \{E_{rr} : 1 \le r \le n\} \cup \{E_{rs} + E_{sr} : 1 \le r < s \le n\}$$

$$\cup \{ i(E_{rs} - E_{sr}) : 1 \le r < s \le n \}.$$

Then  $A = (a_{ij})$  is completely determined.

- If we know that  $\operatorname{tr} A = 1$ , we may skip the checking of  $\operatorname{tr} AE_{nn}$ .
- If  $\rho = (\rho_{ij}) = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} (\bar{x}_1, \dots, \bar{x}_n)$  is a pure state,

we only need to get information for  $\rho_{12}, \ldots, \rho_{1n}$ . If  $x_1 > 0$ , then we can solve  $x_1$  in the equation

$$x_1^2 + \sum_{j=2}^n |\rho_{1j}/x_1|^2 = 1.$$

Thus, one only need to check  $\operatorname{tr} \rho X$  for

$$X = E_{1j} + E_{j1}, X = i(E_{1j} - E_{j1}), j = 2, \dots, n.$$

- Can we write a computer program to do that?
- Can we set up physical experiments to to that?
- Consider the Pauli matrices:  $\sigma_0 = I_2$ ,

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

For an *n*-qubit states in  $M_{2^n}$ , the test set can be

$$\{T_1 \otimes \cdots \otimes T_n : T_j \in \{\sigma_0, \sigma_x, \sigma_y, \sigma_z\}\}.$$

- Suppose  $S \subseteq M_n$  is a special set of quantum states. Can we find a small test set S of observable to determine whether  $\rho \in S$  or not?
- For example, determine all  $\rho$  with specific norm, eigenvalues, specific the Renyi entropy

$$H_{\alpha} = \frac{1}{1-\alpha} \log \operatorname{tr} \rho^{\alpha} \text{ for } \alpha \in (0,1) \cup (1,\infty).$$

For 
$$\alpha = 2$$
, we get  $H_2(\rho) = -\text{tr log } \rho^2$ .

When  $\alpha \to 1$ , we get the

von Neumann entropy 
$$H(\rho) = -\operatorname{tr}(\rho \log \rho).$$

## Multipartite states

Determine multipartite states with special properties.

- Let  $\rho_1 \in M_{n_1}, \rho_2 \in M_{n_2}$ . Determine the set  $S(\rho_1, \rho_2) = \{ \rho \in M_{n_1} \otimes M_{n_2} : \operatorname{tr}_1 \rho = \rho_2, \operatorname{tr}_1 \rho = \rho_2 \}.$
- One may consider the special case when  $\rho_2 = I_{n_2}/n_2$ .
- Determine all possible norms, eigenvalues, Renyi entropy of  $\rho \in \mathcal{S}(\rho_1, \rho_2)$ .

Use projection methods to find the elements.

- Extend the problems to multipatite systems.
- For example, determine the set of states

$$\rho \in M_{n_1} \otimes M_{n_2} \otimes M_{n_3}$$

with specific 
$$\operatorname{tr}_{1}(\rho) = \rho_{23} \in M_{n_{2}} \otimes M_{n_{3}}$$
,  
and  $\operatorname{tr}_{3}(\rho) = \rho_{12} \in M_{n_{1}} \otimes M_{n_{2}}$ .

• If the set is non-empty, determine all possible norms, eigenvalues, Renyi entropy of  $\rho \in \mathcal{S}(\rho_1, \rho_2)$ .

## Projection methods and gradient methods

- It is difficult to construct mutlipartite states with prescribed reduced states with overlapped subsystems. For example, construct  $\rho \in M_{n_1} \otimes M_{n_2} \otimes M_{n_3}$  with prescribed  $\rho_{12}$  and  $\rho_{23}$ .
- One may construct a tripartite state  $\rho$  with prescribed  $\rho \in M_{n_1} \otimes M_{n_2} \otimes M_{n_2}$  using alternating projection methods between the two convex sets:

$$S_1 = \{ \rho \in D_{n_1 n_2 n_3} : \operatorname{tr}_3(\rho) = \rho_{12} \},$$

$$S_2 = \{ \rho \in D_{n_1 n_2 n_3} : \operatorname{tr}_1(\rho) = \rho_{23} \}.$$

• We know that set

$$S(\rho_1, \rho_2) = \{ \rho \in D_{n_1 n_2} : \operatorname{tr}_1(\rho) = \rho_2, \operatorname{tr}_2(\rho) = \rho_1 \}$$

is non-empty. One may determine  $\rho \in \mathcal{S}(\rho_1, \rho_2)$  with the maximum / minimum entropy  $H(\rho)$ , say, using gradient method, i.e., find the steepest descent direction  $\nabla H(\rho)$  and change  $\rho$  to

$$\rho + t\nabla H(\rho)$$

for some suitable step size t > 0.

## Quantum Operations/channels

• Quantum operations  $\mathcal{E}: M_n \to M_n$  of a close system with density matrices in  $M_n$  is a unitary similarity transform

$$\mathcal{E}(A) = UAU^{\dagger}, \qquad A \in M_n,$$

where  $U \in M_n$  is unitary.

- Here  $U = U_t$  may be a function of t: time.
- A mixed unitary channel  $\mathcal{E}$  has the form

$$\mathcal{E}(A) = \sum_{j=1}^{r} p_j U_j A U_j^{\dagger}, \qquad A \in M_n,$$

where  $U_1, \ldots, U_r \in M_n$  are unitary, and  $p_1, \ldots, p_r$  are positive numbers summing up to 1.

• For an open system which may interact with the environment,  $\mathcal{E}: M_n \otimes M_n$  has the form

$$\mathcal{E}(A) = \operatorname{tr}_2(U(A \otimes B)U^{\dagger}) = \sum_{j=1}^r F_j A F_j^{\dagger}, \quad A \in M_n,$$

where 
$$F_1, \ldots, F_r \in M_n$$
 satisfy  $\sum_{j=1}^r F_j^{\dagger} F_j = I_n$ .

• More generally, a quantum operations  $\mathcal{E}: M_n \to M_m$  has the operator sum representation

$$\mathcal{E}(A) = \operatorname{tr}_{2}(U(A \otimes B)U^{\dagger}) = \sum_{j=1}^{r} F_{j}AF_{j}^{\dagger}, \quad A \in M_{n},$$

where 
$$F_1, \ldots, F_r \in M_{m,n}$$
 satisfy  $\sum_{j=1}^r F_j^{\dagger} F_j = I_n$ .

• By a result of Choi,  $\Phi: M_n \to M_m$  is a quantum channel if the Choi matrix of  $\Phi$ 

$$C(\Phi) = [\Phi(E_{ij})] = [P_{ij}] \in M_n(M_m)$$

is positive semidefinite,  $\operatorname{tr} P_{jj} = 1$  for all  $j = 1, \ldots, n$ , and  $\operatorname{tr} P_{ij} = 0$  for all  $1 \leq i < j \leq n$ .

• System tomography can be done by determining the states

$$\mathcal{E}(E_{kk}), \ \mathcal{E}(E_{ii} + E_{jj} + E_{ij} + E_{ji})/2,$$
  
and 
$$\mathcal{E}(E_{ii} + E_{jj} + iE_{ij} - iE_{ji})/2$$
  
for  $k = 1, \dots, n, 1 \le i < j \le n.$ 

## Additional open problems

- Determine the existence and construct quantum operations  $\Phi: M_n \to M_m$  sending quantum states  $\rho_1, \ldots, \rho_k \in D_n$  to  $\tau_1, \ldots, \tau_k \in D_m$ .
- If such quantum operation exists, construct one with minimum/maximum channel entropy.
- Determine whether a given channel has special properties, say, a mixed unitary channel.
- Construct quantum error correction codes and schemes for a quantum channel.
- Determine the quantum complexity, capacity, etc. of a quantum channel.