

1. (2 points for each part.) Determine with proofs the following sets are finite, denumerable or uncountable:

- (a) $\{(x, y) : x, y \in \mathbf{N}, \text{ and } x + y = 10000\}$.
 (b) All the functions from \mathbf{Z}_3 to \mathbf{R} .
 (c) The set of all functions from \mathbf{N} to $\{0, 1\}$.

Solution. (a) The set $\{(x, 10000 - x) : 1 \leq x \leq 9999\}$ has 9999 elements and is finite.

(b) The given set is uncountable. Reason. Consider the subset S_1 of the giving set consisting of functions $f_r : \mathbf{Z}_3 \rightarrow \mathbf{R}$ defined by $f_r([0]) = f_r([1]) = f_r([2]) = r$ for every $r \in \mathbf{R}$. One can check that $\phi : \mathbf{R} \rightarrow S_1$ defined by $\phi(r) = f_r \in S_1$ is a bijection. Thus, S_1 is uncountable and so is the given set.

(c) Every function $f : \mathbf{N} \rightarrow \{0, 1\}$ can be identified with a sequence $(f(1), f(2), \dots)$, where each term lies in the set $\{0, 1\}$. This is the set $2^{\mathbf{N}}$, which is known that the set is uncountable by the previous homework.

Alternatively, the set is $2^{\mathbf{N}}$ which has the same cardinality of \mathbf{R} and is uncountable.

2. (2 points for each part.) Let A_1, A_2, B_1, B_2 be non-empty sets such that $A_1 \cap A_2 = \emptyset$ and $B_1 \cap B_2 = \emptyset$. Suppose $f_1 : A_1 \rightarrow B_1, f_2 : A_2 \rightarrow B_2$ are functions. Define $f : A_1 \cup A_2 \rightarrow B_1 \cup B_2$ by

$$f(x) = \begin{cases} f_1(x) & \text{if } x \in A_1, \\ f_2(x) & \text{if } x \in A_2. \end{cases}$$

- (a) Show that f is a well-defined function.
 (b) If f_1, f_2 are injective, show that f is injective.
 (c) If f_1, f_2 are surjective, show that f is surjective.

Solution. (a) For any $a \in A_1 \cup A_2$, if $a_1 \in A_1$, there is a unique image $f_1(a_1) \in B_1$; if $a \in A_2$, there is a unique image $f_2(a_2) \in B_2$. Thus, $f(a)$ is uniquely determined in $B_1 \cup B_2$.

(b) Suppose f_1, f_2 are injective. Suppose $x_1 \neq x_2$ in $A_1 \cup A_2$. If $x_1, x_2 \in A_1$ or $x_1, x_2 \in A_2$, then $f(x_1) \neq f(x_2)$ by the injectiveness of f_1 and f_2 . If x_1, x_2 are not in the same sets A_1, A_2 , the $f(x_1), f(x_2)$ will not be in the same sets B_1, B_2 . So, they are different.

(c) Suppose f_1, f_2 are surjective. Suppose $b \in B_1 \cup B_2$. By the surjectiveness of f_1 and f_2 , if $b \in B_1$, there is $a \in A_1$ such that $f(a) = f_1(a) = b$; if $b \in B_2$, then there is $a \in A_2$ such that $f(a) = f_2(a) = b$.

3. Let $A = \mathbf{N} - \{n^2 : n \in \mathbf{N}\}$. Construct a bijection from A to \mathbf{N} . Verify your answer.

Solution. Define $f : A \rightarrow \mathbf{N}$ by $f(x) = x - n$ if $n^2 < x < (n + 1)^2$. Clearly, $f(x) = x - n > n^2 - n \geq 0$ is a positive integer for every $x \in A$. Thus, f is well-defined.

Injective: Suppose $x < y$ in A such that $p^2 < x < (p + 1)^2, q^2 < y < (q + 1)^2$.

If $p = q$, then $f(x) = x - p < y - p = f(y)$.

If $p < q$, then $f(y) = y - q > q^2 - q$ and $f(x) = x - p < (p + 1)^2 - p$ so that $f(x) \leq (p + 1)^2 - (p + 1)$ and $f(y) - f(x) > [q^2 - q] - [(p + 1)^2 - (p + 1)] = (q - p - 1)(q + p) \geq 0$ so that $f(y) > f(x)$.

Surjective: For every $y \in \mathbf{N}$, we want to choose $x \in A$ such that $p^2 < x < (p + 1)^2$ so that $f(x) = x - p = y$. Thus, we want to find p such that $x = y + p$ and $p^2 < y + p < (p + 1)^2$. So,

we should determine $p \in \mathbf{N}$ such that $p^2 - p < y < (p+1)^2 - p$. This is always possible because the set $\{n : y \leq (p+1)^2 - (p+1)\}$ is non-empty and has a smallest number by the well-ordering principle. Thus, one can always find a unique $p \in \mathbf{N}$ such that $p^2 - p < y \leq (p+1)^2 - (p+1)$. Then $x = y + p$ will satisfy $f(x) = y$.

4. Let $A = \{a_1, a_2, \dots\}$ be a denumerable set.
- (a) (4 point) Prove that for every $n \in \mathbf{N}$, A can be partitioned into n denumerable sets.
- (b) (4 point) Prove that A can be partitioned into infinitely many denumerable sets.
- Solution. (a) Suppose $n \in \mathbf{N}$. For $k = 1, \dots, n$, let

$$A_k = \{a_j : j = (m-1)n + k, m \in \mathbf{N}\} = \{a_k, a_{n+k}, a_{2n+k}, \dots\}.$$

Then each A_k is a denumerable set and A is a disjoint union of A_1, \dots, A_n .

- (b) For every prime p , let $B_p = \{a_j : j = p^m, m \in \mathbf{N}\}$, which is clearly denumerable, and let $B_0 = \{a_j : j \neq p^m \text{ for any prime } p \text{ and any } m \in \mathbf{N}\} \subseteq A$. Then B_0 contains the subset $T = \{a_j : j = 2^m 3, m \in \mathbf{N}\}$. So, B_0 is not finite, and is a denumerable subset of A .
- Thus, A is a disjoint union of the denumerable sets A_0, A_2, A_3, \dots

5. (2 points for each part.) Suppose $A \subseteq B$. Prove or disprove the following.
- (a) If B is denumerable, then A is denumerable. (a) False. $A = \{1\}, B = \mathbf{N}$.
- (b) If A is denumerable, then B is denumerable. (b) False. $A = \mathbf{N}, B = \mathbf{R}$.
- (c) If B is uncountable, then A is uncountable. (c) False. $A = \{1\}, B = \mathbf{R}$.
- (d) If A is uncountable, then B is uncountable. (d) True. Suppose A is uncountable.

If B is countable, then A will be countable, which is a contradiction.

6. Prove that the set of infinite subsets of \mathbf{N} is uncountable.

Solution. Note that $\mathcal{P}(\mathbf{N})$ can be partitioned into $X \cup Y$, where X is the set of all finite subsets of \mathbf{N} and Y is the set of all infinite subsets of \mathbf{N} . By a previous homework, $X = \cup_{n \in \mathbf{N}} S_n$, where S_n is the set of subsets of \mathbf{N} with n elements, and X is countable. If Y is also countable, then $X \cup Y = \mathcal{P}(\mathbf{N})$ is countable, which contradicts the fact that $\mathcal{P}(\mathbf{N})$ is uncountable. So, Y must be uncountable.

7. (4 points) Show that $|\mathbf{N}^n| = |\mathbf{N}|$ for any $n \in \mathbf{N}$.

Solution. We prove the result by induction. If $n = 1$, the result is known. Suppose the result holds for $k \geq 1$, i.e., $|\mathbf{N}^k| = |\mathbf{N}|$. Then $\mathbf{N}^{k+1} = \mathbf{N}^k \times \mathbf{N}$ is the product set of two denumerable set so that \mathbf{N}^{k+1} is denumerable. By the principle of MI, the result follows.

Alternatively, consider the function $f : \mathbf{N}^n \rightarrow \mathbf{N}$ defined by $f(a_1, \dots, a_n) = p_1^{a_1} \cdots p_n^{a_n} \in \mathbf{N}$, where p_1, \dots, p_n are distinct primes. Then f is an injection and $f(\mathbf{N}^n) = T \subseteq \mathbf{N}$ is denumerable so that $|\mathbf{N}^n| = |T| = |\mathbf{N}|$.

8. Give an example of a set A with subsets B and C such that

$$|\mathbf{N}| < |C| < |B| < |A|.$$

Solution. Let $A = \mathbf{R} \cup \mathcal{P}(\mathbf{R}) \cup \mathcal{P}(\mathcal{P}(\mathbf{R}))$, $B = \mathbf{R} \cup \mathcal{P}(\mathbf{R})$, $C = \mathbf{R}$.

Then $|C| = |\mathbf{R}|$, $B = |\mathcal{P}(\mathbf{R})|$, and $|A| \geq |\mathcal{P}(\mathcal{P}(\mathbf{R}))|$.