

$$u, v \in \mathbb{R}^n$$

$$\langle u, v \rangle = \langle v, u \rangle$$

$$|u\rangle = \begin{bmatrix} u_1 \\ \vdots \\ u_n \end{bmatrix}$$

$$|v\rangle = \begin{bmatrix} v_1 \\ \vdots \\ v_n \end{bmatrix}$$

$$\langle u | v \rangle$$

Inner product and length of vectors

• Define the inner product of  $|u\rangle, |v\rangle \in \mathbb{C}^n$  by  $\langle u | v \rangle = \bar{u}_1 v_1 + \dots + \bar{u}_n v_n = u_1^* v_1 + \dots + u_n^* v_n \in \mathbb{C}$ .

• Then  $\langle u | v \rangle = \langle v | u \rangle^*$ ,  $\langle u | c_1 v + c_2 w \rangle = c_1 \langle u | v \rangle + c_2 \langle u | w \rangle$  for any  $c_1, c_2 \in \mathbb{C}$ ,  $|u\rangle, |v\rangle, |w\rangle \in \mathbb{C}^n$ .

• Also,  $\langle u, u \rangle = \sum_{j=1}^n |u_j|^2 \geq 0$ , where the equality holds if and only if  $|u\rangle = |0\rangle$ .

• Define  $\|u\| = \sqrt{\langle u | u \rangle}$  as the length or norm of  $|u\rangle$ .

• Two vectors  $|u\rangle, |v\rangle \in \mathbb{C}^n$  are orthogonal if  $\langle u, v \rangle = 0$ .

Example

$$|u\rangle = \begin{bmatrix} 1+i \\ 2-i \end{bmatrix}$$

$$|v\rangle = \begin{bmatrix} 3+2i \\ 1+6i \end{bmatrix}$$

$$\begin{aligned} \langle u | v \rangle &= (1-i, 2+i) \begin{pmatrix} 3+2i \\ 1+6i \end{pmatrix} = (1-i)(3+2i) + (2+i)(1+6i) \\ &= (3+2) - 6i + (2-6) + 13i \\ &= 1 + 12i \end{aligned}$$

$$\langle u | v \rangle = \bar{u}_1 v_1 + \dots + \bar{u}_n v_n$$

$$\langle v | u \rangle = \bar{v}_1 u_1 + \dots + \bar{v}_n u_n$$

$$\therefore \langle v | u \rangle^*$$

$$= \bar{v}_1 u_1 + \dots + \bar{v}_n u_n$$

$$= \bar{v}_1 u_1 + \dots + \bar{v}_n u_n$$

$$= v_1 \bar{u}_1 + \dots + v_n \bar{u}_n = \langle u | v \rangle$$

Warnings

In mathematics

$$u, v \in \mathbb{C}^n$$

$$\langle u, v \rangle = \sum_{i=1}^n \bar{v}_i u_i$$

so that

$$\langle \alpha u + \beta u_2, v \rangle = \alpha \langle u_1, v \rangle + \beta \langle u_2, v \rangle$$

$$\langle u, \alpha_1 v_1 + \alpha_2 v_2 \rangle$$

$$= \bar{\alpha}_1 \langle u, v_1 \rangle + \bar{\alpha}_2 \langle u, v_2 \rangle$$

In physics,

$$\langle u | \alpha_1 v_1 + \alpha_2 v_2 \rangle$$

$$= \alpha_1 \langle u | v_1 \rangle + \alpha_2 \langle u | v_2 \rangle$$

$$\langle \alpha_1 u_1 + \alpha_2 u_2 | v \rangle$$

$$= \left( \langle v | \alpha_1 u_1 + \alpha_2 u_2 \rangle \right)^*$$

$$= \left[ \alpha_1 \langle v | u_1 \rangle + \alpha_2 \langle v | u_2 \rangle \right]^*$$

$$= \bar{\alpha}_1 \langle v | u_1 \rangle^* + \bar{\alpha}_2 \langle v | u_2 \rangle^*$$

$$= \bar{\alpha}_1 \langle u_1 | v \rangle + \bar{\alpha}_2 \langle u_2 | v \rangle$$

$$\text{Define } \|u\rangle = \sqrt{\langle u | u \rangle}$$

Then ①  $\|u\rangle \geq 0$ , with equality if and only if  $|u\rangle = |0\rangle$

$$\text{② } \| |u\rangle + |v\rangle \| \leq \| |u\rangle \| + \| |v\rangle \|$$

$$\forall |u\rangle, |v\rangle \in \mathbb{C}^n$$

$$\text{③ } \| \alpha |u\rangle \| = |\alpha| \| |u\rangle \|$$

$$\forall \alpha \in \mathbb{C}, |v\rangle \in \mathbb{C}^n$$

Example  $|e_1\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ ,  $|e_2\rangle = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$  are orthogonal.

$$|u_1\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}, |u_2\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix}, \langle u_1 | u_2 \rangle = \frac{[1, 1]}{\sqrt{2}} \frac{\begin{bmatrix} 1 \\ -1 \end{bmatrix}}{\sqrt{2}} = \frac{1}{2} (1 - 1) = 0$$

§1.4 Orthonormal basis and the Gram-Schmidt process.  $\therefore \{|u_1\rangle, |u_2\rangle\}$  are orthogonal.

- Express a vector as a linear combination of orthonormal basis  $\{|e_1\rangle, \dots, |e_n\rangle\}$ .
- The set  $\{P_j = |e_j\rangle\langle e_j| : j = 1, \dots, n\}$  form a complete set of projection operators/matrices.
- Gram-Schmidt orthogonalization/orthonormalization.

Recall, every  $|v\rangle \in \mathbb{C}^n$  is a linear combination of  $|u_1\rangle, \dots, |u_n\rangle$  if  $\{|u_1\rangle, \dots, |u_n\rangle\}$ .

and the linear coefficients are uniquely determined by solving

$$A \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} = \begin{bmatrix} v_1 \\ \vdots \\ v_n \end{bmatrix}, \text{ where the columns of } A \text{ are } |u_1\rangle, \dots, |u_n\rangle$$

because  $\begin{bmatrix} |u_1\rangle & \dots & |u_n\rangle \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} = a_1 |u_1\rangle + \dots + a_n |u_n\rangle$

$$A \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} = \begin{bmatrix} v_1 \\ \vdots \\ v_n \end{bmatrix} = |v\rangle$$

Note: If  $\{|u_1\rangle, \dots, |u_n\rangle\}$  is an orthonormal basis,

i.e.,  $\langle u_i | u_j \rangle = 0$  if  $i \neq j$ ,  $\langle u_i | u_i \rangle = 1 \forall i$ .

$$\& U = \begin{bmatrix} |u_1\rangle & \dots & |u_n\rangle \end{bmatrix} \Rightarrow U^\dagger U = \begin{bmatrix} \langle u_1 | \\ \vdots \\ \langle u_n | \end{bmatrix} \begin{bmatrix} |u_1\rangle & \dots & |u_n\rangle \end{bmatrix}$$

$$\begin{matrix} \text{"} \\ \text{"} \end{matrix} \begin{bmatrix} u_{ij} \end{bmatrix}^t \begin{matrix} \text{"} \\ \text{"} \end{matrix} \begin{bmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & 1 \end{bmatrix} = I$$

$$\therefore U \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} = |v\rangle$$

$$\Leftrightarrow U^\dagger U \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} = U^\dagger |v\rangle$$

$$\therefore \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} = U^\dagger |v\rangle = \begin{bmatrix} \langle u_1 | v \rangle \\ \vdots \\ \langle u_n | v \rangle \end{bmatrix}$$

Example

Let  $\left\{ \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$ .  $|v\rangle = \begin{pmatrix} 3+2i \\ 1+6i \end{pmatrix}$   
 $= \{|u_1\rangle, |u_2\rangle\}$

Then  $|v\rangle = \alpha_1 |u_1\rangle + \alpha_2 |u_2\rangle$  with  $\alpha_1 = \frac{(1, 1)}{\sqrt{2}} \begin{pmatrix} 3+2i \\ 1+6i \end{pmatrix} = \frac{1}{\sqrt{2}} (4+8i)$   
 $\alpha_2 = \frac{(1, -1)}{\sqrt{2}} \begin{pmatrix} 3+2i \\ 1+6i \end{pmatrix} = \frac{1}{\sqrt{2}} (-4-2i)$

Suppose  $\{|u_1\rangle, \dots, |u_n\rangle\}$  is an orthonormal basis for  $\mathbb{C}^n$ .  
 Then  $U^T U = I_n$  if  $U = [|u_1\rangle, \dots, |u_n\rangle]$ .

$$\begin{aligned} \text{So } U U^T &= I_n = \begin{bmatrix} \langle u_1 | & \dots & \langle u_n | \end{bmatrix} \begin{bmatrix} |u_1\rangle \\ \vdots \\ |u_n\rangle \end{bmatrix} \\ &= |u_1\rangle \langle u_1| + |u_2\rangle \langle u_2| + \dots + |u_n\rangle \langle u_n| \\ &= P_1 + P_2 + \dots + P_n \end{aligned}$$

s.t.  $P_i = P_i^T = P_i^2$  and  $P_1 + \dots + P_n = I_n$  and  $P_i P_j = 0$  ( $i \neq j$ )

$\therefore \{P_1, \dots, P_n\}$  is a complete set of rank 1 orthogonal projections.

Gram-Schmidt Process for constructing orthonormal basis spanned by  $\{|v_1\rangle, \dots, |v_m\rangle\}$ , a linearly independent set.

as follows.

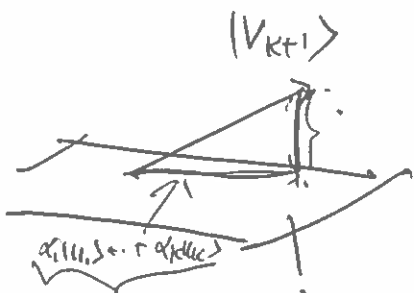
Let  $|u_1\rangle = \frac{|v_1\rangle}{\|v_1\|}$ .

Suppose  $\{|u_1\rangle, \dots, |u_k\rangle\}$  is an orthonormal set constructed from  $\{|v_1\rangle, \dots, |v_k\rangle\}$ ,  $1 \leq k < m$ .

Then construct  $|u_{k+1}\rangle = \frac{|v_{k+1}\rangle - (\alpha_1 |u_1\rangle + \dots + \alpha_k |u_k\rangle)}{\| |v_{k+1}\rangle - (\alpha_1 |u_1\rangle + \dots + \alpha_k |u_k\rangle) \|}$

where

$\alpha_i = \langle u_i | v_{k+1} \rangle$ ,  $\forall i=1, \dots, k$ .



$\text{Span}\{|u_1\rangle, \dots, |u_k\rangle\}$

$= \text{Span}\{|v_1\rangle, \dots, |v_k\rangle\}$

Example:

$$\left\{ \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}, \begin{bmatrix} 1+i \\ i \\ 0 \end{bmatrix} \right\}$$

$$|u_1\rangle = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \quad |u_2\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix}, \quad |u_3\rangle = \frac{1}{\sqrt{12}} \begin{bmatrix} 1 & -i \\ -2 & \sqrt{2}i \\ 1 & -i \end{bmatrix}$$

$$(1+1+4+4+1+1)$$

Question:

5x3

Suppose  $\{|v_1\rangle, |v_2\rangle, |v_3\rangle\} \subseteq \mathbb{C}^5$ .

If we want to use the  $A = U R = U \begin{bmatrix} \surd & & \\ & \surd & \\ & & \surd \end{bmatrix}$

Command. in Matlab.

How can we solve this problem?

Answer find easy  $|v_4\rangle, |v_5\rangle$  to form

$A = \begin{bmatrix} |v_1\rangle & \dots & |v_5\rangle \end{bmatrix}$  to do UR decomposition