

# Some Remarks on Quantum Systems Theory as Pertaining to Numerical Ranges A Unified Lie-Geometric Viewpoint

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Thomas Schulte-Herbrüggen

relating to (joint) work with

G. Dirr, R. Zeier, C.K. Li, Y.T. Poon, F. vom Ende



14<sup>th</sup> Workshop on Numerical Ranges and Radii WONRA  
– 100<sup>th</sup> anniversary of the TOEPLITZ-HAUSDORFF Theorem (1918/1919) –  
MPQ and TUM-IAS, Munich-Garching, June 2018



# Outline

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Motivation: Numerical Ranges as Measuring Sticks for Optimising Quantum Dynamics

I Symmetry Approach to Quantum Systems Theory

II Generalising  $C$ -Numerical Ranges

III Gradient Flows



# Outline

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Motivation: Numerical Ranges as Measuring Sticks for Optimising Quantum Dynamics

I Symmetry Approach to Quantum Systems Theory

II Generalising  $C$ -Numerical Ranges

III Gradient Flows



# Outline

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Motivation: Numerical Ranges as Measuring Sticks for Optimising Quantum Dynamics

- I Symmetry Approach to Quantum Systems Theory
- II Generalising  $C$ -Numerical Ranges
- III Gradient Flows



# Significance of Numerical and $C$ -Numerical Ranges Generalising Expectation Values

Motivation

$C$ -Numerical Ranges

Approx. by Sums of Orbita

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Expectation values of observables  $B = B^\dagger \in \mathcal{B}(\mathcal{H})$ :

- pure quantum states  $|\psi(t)\rangle = U(t)|\psi_0\rangle \in \mathcal{H}$ :

$$\langle B \rangle_t := \langle \psi(t) B | \psi(t) \rangle \quad \in \quad \mathbf{W}(B) := \{ \langle \phi B | \phi \rangle, \|\phi\| = 1 \}$$

- mixed states  $\rho(t) \in U\rho_0U^\dagger$ :

$$\text{tr}(B^\dagger \rho(t)) \in \mathbf{W}(B, \rho_0) = \{ \text{tr}(B^\dagger U\rho_0U^{-1}) \mid U \in \mathcal{U}(\mathcal{H}) \}$$

- $C$  numerical range:

generalisation to non-Hermitian operators  $A, C$

$$\mathbf{W}(C, A) := \{ \text{tr}(C^\dagger UAU^{-1}) \mid U \in \mathcal{U}(\mathcal{H}) \}$$



# Significance of Numerical and $C$ -Numerical Ranges Generalising Expectation Values

Motivation

$C$ -Numerical Ranges

Approx. by Sums of Orbita

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Expectation values of observables  $B = B^\dagger \in \mathcal{B}(\mathcal{H})$ :

- pure quantum states  $|\psi(t)\rangle = U(t)|\psi_0\rangle \in \mathcal{H}$ :

$$\langle B \rangle_t := \langle \psi(t) B | \psi(t) \rangle \quad \in \quad \mathbf{W}(B) := \{ \langle \phi B | \phi \rangle, \|\phi\| = 1 \}$$

- mixed states  $\rho(t) \in U\rho_0U^\dagger$ :

$$\text{tr}(B^\dagger \rho(t)) \in \mathbf{W}(B, \rho_0) = \{ \text{tr}(B^\dagger U\rho_0U^{-1}) \mid U \in \mathcal{U}(\mathcal{H}) \}$$

- $C$  numerical range:

generalisation to non-Hermitian operators  $A, C$

$$\mathbf{W}(C, A) := \{ \text{tr}(C^\dagger UAU^{-1}) \mid U \in \mathcal{U}(\mathcal{H}) \}$$



# Significance of Numerical and $C$ -Numerical Ranges Generalising Expectation Values

Motivation

$C$ -Numerical Ranges

Approx. by Sums of Orbita

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Generalise from  $B = B^\dagger$  to non-Hermitian operator  $C$ :

- pure quantum states  $|\psi(t)\rangle = U(t)|\psi_0\rangle \in \mathcal{H}$ :

$$\langle B \rangle_t := \langle \psi(t) B | \psi(t) \rangle \quad \in \quad \mathcal{W}(B) := \{ \langle \phi B | \phi \rangle, \|\phi\| = 1 \}$$

- mixed states  $\rho(t) \in U\rho_0 U^\dagger$ :

$$\text{tr}(B^\dagger \rho(t)) \in \mathcal{W}(B, \rho_0) = \{ \text{tr}(B^\dagger U \rho_0 U^{-1}) \mid U \in \mathcal{U}(\mathcal{H}) \}$$

- $C$  numerical range:  
generalisation to non-Hermitian operators  $A, C$

$$\mathcal{W}(C, A) := \{ \text{tr}(C^\dagger U A U^{-1}) \mid U \in \mathcal{U}(\mathcal{H}) \}$$



# Significance of $C$ -Numerical Radius

## Geometric Optimisation Problems

Motivation
$C$ -Numerical Ranges
Approx. by Sums of Orbit
I. Systems Theory
II. Relative $W_C(A)$
III. Gradient Flows
Conclusions & Outlook

Find points on unitary orbit of initial state  $A$  with

- minimal Euclidean distance to target  $C$

$$\min_U \|C - UAU^{-1}\|_2^2 \Leftrightarrow \max_U \operatorname{Re} \operatorname{tr}\{C^\dagger UAU^{-1}\}$$

$\Leftrightarrow$  find max. real part of  $C$  num. range

- minimal angle to target  $C$

$$\max_U \cos_{A,C}^2(U) = \max_U \frac{|\operatorname{tr}\{C^\dagger UAU^{-1}\}|^2}{\|A\|_2^2 \cdot \|C\|_2^2}$$

$\Leftrightarrow$  find:  $C$  num. radius  $r_C(A) = \max_U |\operatorname{tr}\{C^\dagger UAU^{-1}\}|$

*pro memoria:*  $\|C - UAU^{-1}\|_2^2 = \|A\|_2^2 + \|C\|_2^2 - 2 \operatorname{Re} \operatorname{tr}\{C^\dagger UAU^{-1}\}$



# Significance of $C$ -Numerical Radius

## Geometric Optimisation Problems

Motivation
$C$ -Numerical Ranges
Approx. by Sums of Orbit
I. Systems Theory
II. Relative $W_C(A)$
III. Gradient Flows
Conclusions & Outlook

Find points on unitary orbit of initial state  $A$  with

- minimal Euclidean distance to target  $C$

$$\min_U \|C - UAU^{-1}\|_2^2 \Leftrightarrow \max_U \operatorname{Re} \operatorname{tr}\{C^\dagger UAU^{-1}\}$$

$\Leftrightarrow$  find max. real part of  $C$  num. range

- minimal angle to target  $C$

$$\max_U \cos_{A,C}^2(U) = \max_U \frac{|\operatorname{tr}\{C^\dagger UAU^{-1}\}|^2}{\|A\|_2^2 \cdot \|C\|_2^2}$$

$\Leftrightarrow$  find:  $C$  num. radius  $r_C(A) = \max_U |\operatorname{tr}\{C^\dagger UAU^{-1}\}|$

*pro memoria:*  $\|C - UAU^{-1}\|_2^2 = \|A\|_2^2 + \|C\|_2^2 - 2 \operatorname{Re} \operatorname{tr}\{C^\dagger UAU^{-1}\}$

# Examples of Quantum Control

## Maximising Spectroscopic Sensitivity

Motivation

C-Numerical Ranges

Approx. by Sums of Orbita

I. Systems Theory

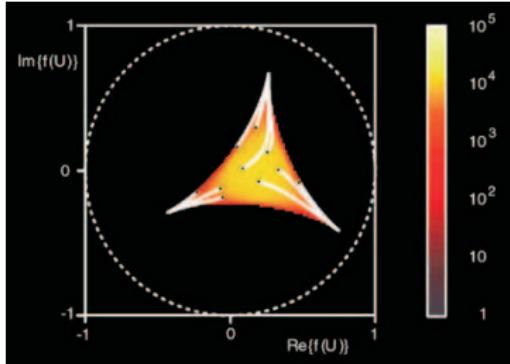
II. Relative  $W_C(A)$

III. Gradient Flows

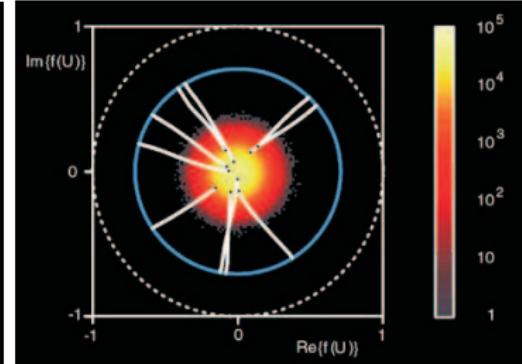
Conclusions &  
Outlook

- find  $r_C(A)$  by gradient flow on unitary group

$$A, C \in \text{Mat}_3(\mathbb{C})$$



$$A, C \in \text{Mat}_8(\mathbb{C})$$



Glaser, T.S.H., Sieveking, Schedletzky, Nielsen, Sørensen, Griesinger,  
*Science* **280** (1998), 421



# Early Connections

## Trip from ETH to ILAS 1996

Motivation

C-Numerical Ranges

Approx. by Sums of Orbit

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

$$\begin{bmatrix} \mathcal{I} & \mathcal{L} \\ \mathcal{A} & \mathcal{S} \end{bmatrix}$$



Sixth Conference of the  
International Linear Algebra Society

Hosted by  
The International Linear Algebra Society  
and  
Technische Universität Chemnitz-Zwickau

Böttcher Bau  
TU Chemnitz-Zwickau  
Chemnitz, Germany

[August 14-17, 1996]

Program  
List of Participants



# Early Connections

## Trip from ETH to ILAS 1996

### Motivation

C-Numerical Ranges

Approx. by Sums of Orbita

### I. Systems Theory

### II. Relative $W_C(A)$

### III. Gradient Flows

### Conclusions & Outlook

Friday, August 16

Invited Talks		
9.00 – 9.50	Johannes Grabmeier <i>Computer Algebra — a Part of the Foundation of Scientific Computing</i>	HS 201 → p. 37
9.50 – 10.20	Coffee / Tea	
10.20 – 10.50	Chi-Kwong Li <i>Isomorphisms Between Normed Spaces</i>	HS 201 → p. 53
10.20 – 10.50	Michael Eiermann <i>Field of Values and Iterative Methods</i>	HS 305 → p. 25
Minisymposia		
11.00 – 13.00	Bernd Silbermann: <i>C*-Algebra Techniques in Computational Linear Algebra</i>	HS 201
11.00 – 12.30	R. Horn: <i>Canonical Forms</i>	HS 305
13.00 – 14.00	Lunch	
Minisymposium		
14.00 – 15.00	R. Horn: <i>Canonical Forms</i>	HS 305
Contributed Talks		
14.00 – 16.00	Combinatorial Linear Algebra	HS 201
14.00 – 16.00	Control, Signals and Systems	SR 367 A
14.00 – 16.00	General Linear Algebra	SR 367
15.00 – 15.40	Numerical Methods: Linear Systems	HS 305
15.40 – 16.00	Numerical Methods: Eigenvalue Problems	HS 305
16.30	Excursion	



# Early Connections

## Trip from ETH to ILAS 1996

### Motivation

#### C-Numerical Ranges

Approx. by Sums of Orbita

### I. Systems Theory

### II. Relative $W_C(A)$

### III. Gradient Flows

### Conclusions & Outlook

Thursday, August 15

9.00 – 9.50	Olga Taussky-Todd Lecture: R. Guralnick <i>Traces and Generation of Matrix Algebras</i>	HS 201 → p. 38
9.50 – 10.20		
C o f f e e / T e a		
I n v i t e d     T a l k s		
10.20 – 11.00	U. Helmke <i>Geometric Optimization Methods Solving Matrix Eigenvalue Problems</i>	HS 201 → p. 41
11.05 – 11.35	Thomas H. Pate <i>Node Diagrams, Row Adding, and Immanant Inequalities for Hermitian Positive Semi-definite Matrices</i>	HS 201 → p. 66
11.05 – 11.35	Roy Mathias <i>Relative Perturbation Theory for the Eigenvalue Problem</i>	HS 305 → p. 59
M i n i s y m p o s i a		
11.40 – 12.40	G. Michler: Parallel Computations in Algebra	HS 201
11.40 – 12.40	Nicholas Higham: Perturbation Theory	HS 305
12.40 – 14.00	L u n c h	
M i n i s y m p o s i a		
14.00 – 15.30	G. Michler: Parallel Computations in Algebra	HS 201
14.00 – 15.30	Nicholas Higham: Perturbation Theory	HS 305
15.30 – 16.00	C o f f e e / T e a	
C o n t r i b u t e d     T a l k s		
16.00 – 17.20	Numerical Methods: Linear Systems	SR 367
16.00 – 17.20	General Linear Algebra	HS 305
16.00 – 17.20	Structured Matrices and Fast Algorithms	HS 201
16.00 – 17.20	Numerical Methods: Eigenvalue Problems	SR 367 A
17.30 – 18.30	I L A S Business Meeting	
19.00	B a n q u e t	



# Generalisation: Sums of Orbits

Least-Squares Approx. with Li & Poon, Math. Comput. **80**, 1601 (2011)

Motivation

C-Numerical Ranges

Approx. by Sums of Orbit

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Generalised task:  
approximate  $A_0$  by elements on the **sum** of orbits

■ **unitary similarity:**  $\min_{U_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j U_j^\dagger - A_0 \right\|_2^2$

■ **unitary equivalence:**  $\min_{U_j, V_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j V_j - A_0 \right\|_2^2$

■ **unitary  $t$ -congruence:**  $\min_{U_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j U_j^t - A_0 \right\|_2^2$

■  **$\dagger$ -congruence:**  $\min_{S_j \in SL(n)} \left\| \sum_{j=1}^N S_j A_j S_j^\dagger - A_0 \right\|_2^2$



# Generalisation: Sums of Orbits

Least-Squares Approx. with Li & Poon, Math. Comput. **80**, 1601 (2011)

Motivation

C-Numerical Ranges

Approx. by Sums of Orbit

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Generalised task:

approximate  $A_0$  by elements on the **sum** of orbits

- **unitary similarity:**  $\min_{U_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j U_j^\dagger - A_0 \right\|_2^2$
- **unitary equivalence:**  $\min_{U_j, V_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j V_j - A_0 \right\|_2^2$
- **unitary  $t$ -congruence:**  $\min_{U_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j U_j^t - A_0 \right\|_2^2$
- **$\dagger$ -congruence:**  $\min_{S_j \in SL(n)} \left\| \sum_{j=1}^N S_j A_j S_j^\dagger - A_0 \right\|_2^2$



# Generalisation: Sums of Orbits

Least-Squares Approx. with Li & Poon, Math. Comput. **80**, 1601 (2011)

Motivation

C-Numerical Ranges

Approx. by Sums of Orbit

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Generalised task:

approximate  $A_0$  by elements on the **sum** of orbits

- **unitary similarity:**  $\min_{U_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j U_j^\dagger - A_0 \right\|_2^2$
- **unitary equivalence:**  $\min_{U_j, V_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j V_j - A_0 \right\|_2^2$
- **unitary  $t$ -congruence:**  $\min_{U_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j U_j^t - A_0 \right\|_2^2$
- $\dagger$ -congruence:  $\min_{S_j \in SL(n)} \left\| \sum_{j=1}^N S_j A_j S_j^\dagger - A_0 \right\|_2^2$



# Generalisation: Sums of Orbits

Least-Squares Approx. with Li & Poon, Math. Comput. **80**, 1601 (2011)

Motivation

C-Numerical Ranges

Approx. by Sums of Orbit

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Generalised task:

approximate  $A_0$  by elements on the **sum** of orbits

- **unitary similarity:**  $\min_{U_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j U_j^\dagger - A_0 \right\|_2^2$
- **unitary equivalence:**  $\min_{U_j, V_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j V_j - A_0 \right\|_2^2$
- **unitary  $t$ -congruence:**  $\min_{U_j \in SU(n)} \left\| \sum_{j=1}^N U_j A_j U_j^t - A_0 \right\|_2^2$
- **$\dagger$ -congruence:**  $\min_{S_j \in SL(n)} \left\| \sum_{j=1}^N S_j A_j S_j^\dagger - A_0 \right\|_2^2$



# Systems Theory

## Motivation

### I. Systems Theory

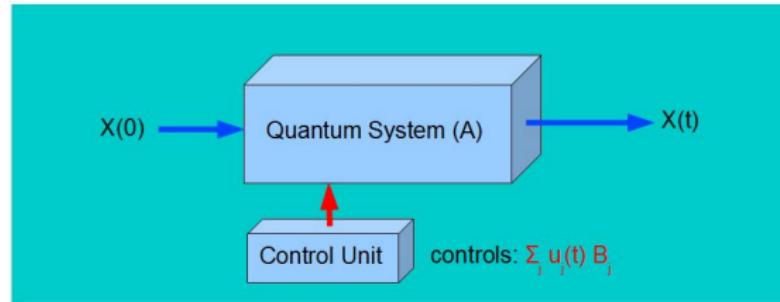
Simulability

Spin Systems

### II. Relative $W_C(A)$

### III. Gradient Flows

### Conclusions & Outlook





# Systems Theory: Controllability/Simulability

Motivation

I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Consider

- 1 linear control system:  $\dot{x}(t) = Ax(t) + Bv$
- 2 bilinear control system:  $\dot{X}(t) = (A + \sum_j u_j B_j)X(t)$

Conditions for Full Controllability  $\Leftrightarrow$  Universality

- 1 in linear systems:  $\text{rank } [B, AB, A^2B, \dots, A^{N-1}B] = N$
- 2 in bilinear systems:  $\langle A, B_j \mid j = 1, 2, \dots, m \rangle_{\text{Lie}} = \mathfrak{su}(N)$

key: system algebra  $\mathfrak{k} := \langle A, B_j \mid j = 1, 2, \dots, m \rangle_{\text{Lie}}$

reachable set  $\text{Reach}(\rho_0) = \{K\rho_0 K^\dagger \mid K \in \exp \mathfrak{k}\}$



# Systems Theory: Controllability/Simulability

Motivation

I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Consider

- 1 linear control system:  $\dot{x}(t) = Ax(t) + Bv$
- 2 bilinear control system:  $\dot{X}(t) = (A + \sum_j u_j B_j)X(t)$

Conditions for Full Controllability  $\Leftrightarrow$  Universality

- 1 in linear systems:  $\text{rank}[B, AB, A^2B, \dots, A^{N-1}B] = N$
- 2 in bilinear systems:  $\langle A, B_j \mid j = 1, 2, \dots, m \rangle_{\text{Lie}} = \mathfrak{su}(N)$   
key: **system algebra**  $\mathfrak{k} := \langle A, B_j \mid j = 1, 2, \dots, m \rangle_{\text{Lie}}$

$$\text{reachable set } \text{Reach}(\rho_0) = \{K\rho_0 K^\dagger \mid K \in \exp \mathfrak{k}\}$$



# Systems Theory: Controllability/Simulability

Motivation

I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Consider

- 1 linear control system:  $\dot{x}(t) = Ax(t) + Bv$
- 2 bilinear control system:  $\dot{X}(t) = (A + \sum_j u_j B_j)X(t)$

Conditions for Full Controllability  $\Leftrightarrow$  Universality

- 1 in linear systems:  $\text{rank}[B, AB, A^2B, \dots, A^{N-1}B] = N$
- 2 in bilinear systems:  $\langle A, B_j \mid j = 1, 2, \dots, m \rangle_{\text{Lie}} = \mathfrak{su}(N)$   
key: system algebra  $\mathfrak{k} := \langle A, B_j \mid j = 1, 2, \dots, m \rangle_{\text{Lie}}$   
reachable set  $\text{Reach}(\rho_0) = \{K\rho_0 K^\dagger \mid K \in \exp \mathfrak{k}\}$



# Systems Theory: Controllability/Simulability

Motivation

I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Consider

- 1 linear control system:  $\dot{x}(t) = Ax(t) + Bv$
- 2 bilinear control system:  $\dot{X}(t) = (A + \sum_j u_j B_j)X(t)$

Conditions for Full Controllability  $\Leftrightarrow$  Universality

- 1 in linear systems:  $\text{rank } [B, AB, A^2B, \dots, A^{N-1}B] = N$
- 2 in bilinear systems:  $\langle A, B_j \mid j = 1, 2, \dots, m \rangle_{\text{Lie}} = \mathfrak{su}(N)$   
key: system algebra  $\mathfrak{k} := \langle A, B_j \mid j = 1, 2, \dots, m \rangle_{\text{Lie}}$   
reachable set  $\text{Reach}(\rho_0) = \{K\rho_0 K^\dagger \mid K \in \exp \mathfrak{k}\}$   
symmetries  $\text{ad}'_{\mathfrak{k}} := \{S \in \mathfrak{gl}(N^2) \mid [S, \text{ad}_A] = [S, \text{ad}_{B_j}] = 0, \forall j\}$



# Bilinear Control Systems

## Markovian Settings

PRA 84, 022305 (2011)

Motivation

### I. Systems Theory

Simulability

Spin Systems

### II. Relative $W_C(A)$

### III. Gradient Flows

Conclusions &  
Outlook

$$\dot{X}(t) = -(A + \sum_j u_j(t) B_j) X(t) \quad \text{as operator lift of}$$

$$\dot{x}(t) = -(A + \sum_j u_j(t) B_j) x(t)$$

$X(t)$  or  $x(t)$ : 'state';  $A$ : drift;  $B_j$ : control Hamiltonians;  $u_j$ : control amplitudes

Setting and Task	'State' $X(t)$	Drift $A$	Controls $B_j$
<i>closed systems:</i>			
pure-state transfer	$X(t) =  \psi(t)\rangle$	$iH_0$	$iH_j$
gate synthesis (fixed global phase)	$X(t) =  U(t)\rangle$	$i\hat{H}_0$	$i\hat{H}_j$
state transfer	$X(t) =  \rho(t)\rangle$	$i\hat{H}_0$	$i\hat{H}_j$
gate synthesis (free global phase)	$X(t) =  \tilde{U}(t)\rangle$	$i\hat{H}_0$	$i\hat{H}_j$
<i>open systems:</i>			
state transfer I	$X(t) =  \rho(t)\rangle$	$i\hat{H}_0 + \hat{\Gamma}$	$i\hat{H}_j$
quantum-map synthesis I	$X(t) =  F(t)\rangle$	$i\hat{H}_0 + \hat{\Gamma}$	$i\hat{H}_j$
state transfer II	$X(t) =  \rho(t)\rangle$	$i\hat{H}_0$	$i\hat{H}_j, \hat{\Gamma}_j$
map synthesis II	$X(t) =  F(t)\rangle$	$i\hat{H}_0$	$i\hat{H}_j, \hat{\Gamma}_j$

$\hat{H}$  is Hamiltonian commutator superoperator generating  $\hat{U} := U(\cdot)U^\dagger$



## Motivation

## I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$ 

## III. Gradient Flows

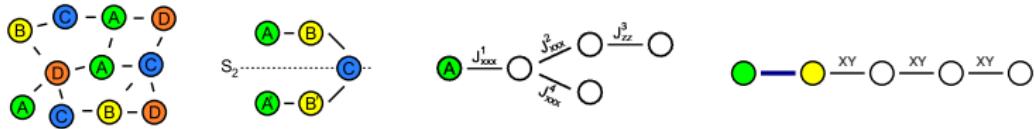
Conclusions &  
Outlook

Control system  $\Sigma$  with algebra  $\mathfrak{k} = \langle iH_\nu \mid \nu = d; 1, 2, \dots, m \rangle_{\text{Lie}}$ .

## Theorem (Simplicity)

The above system algebra  $\mathfrak{k}$  is an irreducible simple subalgebra of  $\mathfrak{su}(N)$ , if both

- 1 the commutant is trivial, i.e.  $\mathfrak{k}' = \text{span}\{\mathbb{1}\}$ ,
- 2 the coupling graph to  $H_d$  is connected.





# Irreducible Simple Subalgebras to $\mathfrak{su}(N)$ up to $N = 2^{15}$

J. Math. Phys. 52, 113510 (2011)

## Motivation

## I. Systems Theory

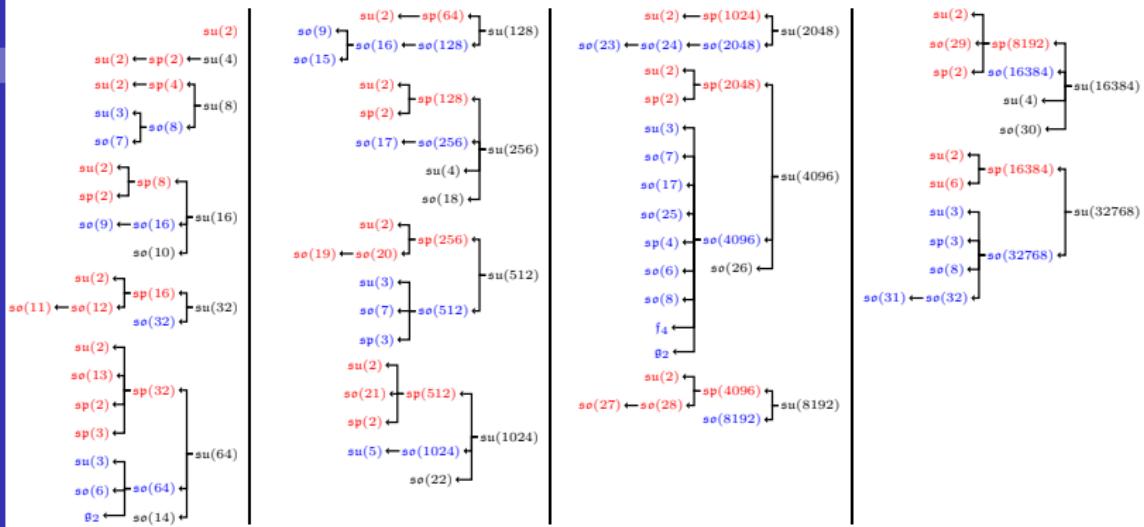
Simulability

Spin Systems

## II. Relative $W_C(A)$

## III. Gradient Flows

## Conclusions & Outlook





Motivation

I. Systems Theory

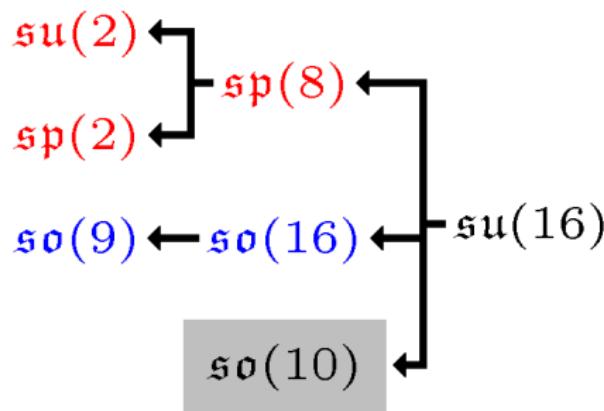
Simulability

Spin Systems

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook





# Irreducible Simple Subalgebras to $\mathfrak{su}(N)$ up to $N = 2^{15}$

*J. Math. Phys.* **52**, 113510 (2011)

## Motivation

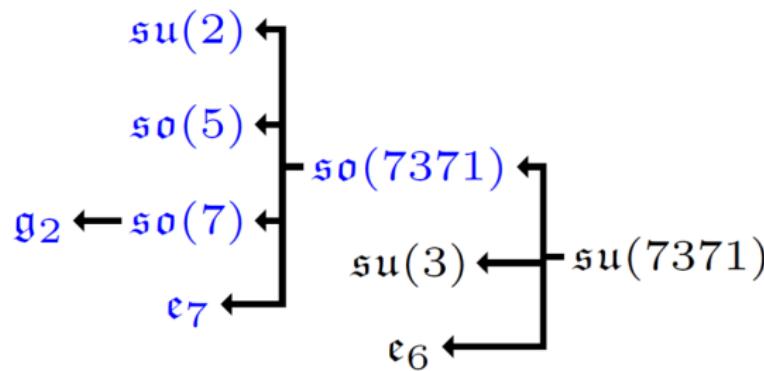
### I. Systems Theory

Simulability  
Spin Systems

### II. Relative $W_C(A)$

### III. Gradient Flows

Conclusions &  
Outlook





# Symmetry vs. Controllability II

Single Symmetry Condition *JMP* 52 113510 (2011), OSID 24 1740019 (2017)

Motivation

I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

## Theorem (Trivial Second-Order Symmetries)

Let  $\{H_\nu \mid \nu = d; 1, 2, \dots, m\}$  be drift and control Hamiltonians of control system  $\Sigma$  with system algebra  $\mathfrak{k}$ .

Define  $\Phi_\Theta := \{(\mathbf{1} \otimes iH_\nu + \Theta(iH_\nu \otimes \mathbf{1})) \mid \nu = d, 1, \dots, m\}$ .

Then  $\Sigma$  is fully controllable, i.e.  $\mathfrak{k} = \mathfrak{su}(2^n)$ , iff

■ joint commutant to  $\Phi_\Theta$  is two-dimensional, i.e.

$$\Phi'_\Theta = \text{span}\{\mathbf{1}^{\otimes 2}, \Theta(\text{SWAP})\}.$$



# Symmetry vs. Controllability II

Single Symmetry Condition

*J. Math. Phys.* **52**, 113510 (2011)

## Theorem

Let  $\{H_\nu \mid \nu = d; 1, 2, \dots, m\}$  be drift and control Hamiltonians of control system  $\Sigma$  with system algebra  $\mathfrak{k}$ .

Define  $\Phi_{AB} := \{(\mathbb{1}_B \otimes iH_\nu + iH_\nu \otimes \mathbb{1}_A) \mid \nu = d, 1, \dots, m\}$ .

Then  $\Sigma$  is fully controllable, i.e.  $\mathfrak{k} = \mathfrak{su}(2^n)$ , iff

■ joint commutant to  $\Phi_{AB}$  is two-dimensional

i.e.  $\Phi'_{AB} = \text{span}\{\mathbb{1}, \text{SWAP}_{AB}\}$ .

$[\Phi_{AB}] = [\text{symmetric}]^{\text{'bosonic'}} \oplus [\text{anti-symmetric}]^{\text{'fermionic'}}$



# Symmetry vs. Controllability II

Single Symmetry Condition

*J. Math. Phys.* **52**, 113510 (2011)

Motivation

I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

## Theorem

Let  $\{H_\nu \mid \nu = d; 1, 2, \dots, m\}$  be drift and control Hamiltonians of control system  $\Sigma$  with system algebra  $\mathfrak{k}$ .

Define  $\Phi_{AB} := \{(\mathbb{1}_B \otimes iH_\nu + iH_\nu \otimes \mathbb{1}_A) \mid \nu = d, 1, \dots, m\}$ .

Then  $\Sigma$  is fully controllable, i.e.  $\mathfrak{k} = \mathfrak{su}(2^n)$ , iff

- joint commutant to  $\Phi_{AB}$  is two-dimensional  
i.e.  $\Phi'_{AB} = \text{span}\{\mathbb{1}, \text{SWAP}_{AB}\}$ .  
 $[\Phi_{AB}] = [\text{symmetric}]^{\text{'bosonic'}} \oplus [\text{anti-symmetric}]^{\text{'fermionic'}}$

Motivation

I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$ 

III. Gradient Flows

Conclusions &  
Outlook

## Theorem

Let  $\{H_\nu \mid \nu = d; 1, 2, \dots, m\}$  be drift and control Hamiltonians of control system  $\Sigma$  with system algebra  $\mathfrak{k}$ .

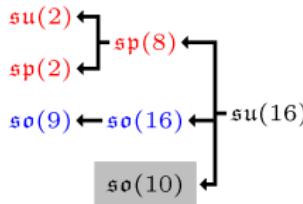
Define  $\Phi_{AB} := \{(\mathbb{1}_B \otimes iH_\nu + iH_\nu \otimes \mathbb{1}_A) \mid \nu = d, 1, \dots, m\}$ .

Then  $\Sigma$  is fully controllable, i.e.  $\mathfrak{k} = \mathfrak{su}(2^n)$ , iff

■ joint commutant to  $\Phi_{AB}$  is two-dimensional

i.e.  $\Phi'_{AB} = \text{span}\{\mathbb{1}, \text{SWAP}_{AB}\}$ .

$[\Phi_{AB}] = [\text{symmetric}]^{\text{'bosonic'}} \oplus [\text{anti-symmetric}]^{\text{'fermionic'}}$





# Quantum Simulability

## Algebraic Decision

Motivation

I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Corollary (*J. Math. Phys.* **52**, 113510 (2011))

Let  $\Sigma_A, \Sigma_B$  be control systems with system algebras  $\mathfrak{k}_A, \mathfrak{k}_B$  over a given Hilbert space  $\mathcal{H}$ .

Then

- $\Sigma_A$  can simulate  $\Sigma_B \Leftrightarrow \mathfrak{k}_B$  is a subalgebra of  $\mathfrak{k}_A$ .

## Motivation

## I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$ 

## III. Gradient Flows

Conclusions &  
Outlook

system type	no. of levels	'fermionic'	'bosonic'	system alg.
$n$ -spins- $\frac{1}{2}$	$n$	quadratic (i.e. 2)	-	$\mathfrak{so}(2n+1)$

NB: no. of spins maps into no. of levels (as in Jordan-Wigner transformation).

## Motivation

## I. Systems Theory

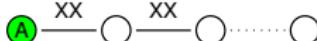
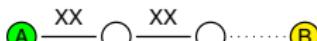
Simulability

Spin Systems

II. Relative  $W_C(A)$ 

## III. Gradient Flows

Conclusions &  
Outlook

system type	no. of levels	'fermionic'	'bosonic'	system alg.
$n$ -spins- $\frac{1}{2}$	$n$	quadratic (i.e. 2)	-	$\mathfrak{so}(2n+1)$
 	$n+1$	quadratic (i.e. 2)	-	$\mathfrak{so}(2n+2)$

NB: no. of spins maps into no. of levels (as in Jordan-Wigner transformation).

## Motivation

## I. Systems Theory

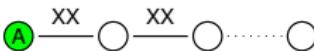
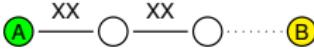
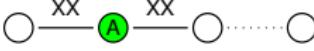
Simulability

Spin Systems

II. Relative  $W_C(A)$ 

## III. Gradient Flows

Conclusions &  
Outlook

system type	no. of levels	'fermionic' order of coupling	'bosonic'	system alg.
$n$ -spins- $\frac{1}{2}$				
	$n$	quadratic (i.e. 2)	-	$\mathfrak{so}(2n+1)$
	$n+1$	quadratic (i.e. 2)	-	$\mathfrak{so}(2n+2)$
	$n$	up to $n$	-	$\mathfrak{so}(2^n)$
for $n \bmod 4 \in \{0, 1\}$				

NB: no. of spins maps into no. of levels (as in Jordan-Wigner transformation).

## Motivation

## I. Systems Theory

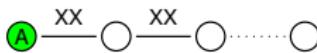
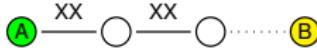
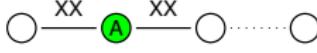
Simulability

Spin Systems

II. Relative  $W_C(A)$ 

## III. Gradient Flows

Conclusions &  
Outlook

system type	no. of levels	'fermionic'	'bosonic'	system alg.
		order of coupling		
$n$ -spins- $\frac{1}{2}$				
 (A) — XX — O — XX — O — ... — O	$n$	quadratic (i.e. 2)	—	$\mathfrak{so}(2n+1)$
 (A) — XX — O — XX — O — ... — (B)	$n+1$	quadratic (i.e. 2)	—	$\mathfrak{so}(2n+2)$
 O — XX — (A) — XX — O — ... — O for $n \bmod 4 \in \{0, 1\}$	$n$	up to $n$	—	$\mathfrak{so}(2^n)$
 O — XX — A — XX — O — ... — O for $n \bmod 4 \in \{2, 3\}$	$n$	—	up to $n$	$\mathfrak{sp}(2^{n-1})$

NB: no. of spins maps into no. of levels (as in Jordan-Wigner transformation).

## Motivation

## I. Systems Theory

Simulability

Spin Systems

II. Relative  $W_C(A)$ 

## III. Gradient Flows

Conclusions &  
Outlook

system type $n$ -spins- $\frac{1}{2}$	no. of levels	'fermionic' order of coupling	'bosonic'	system alg.
A sequence of circles connected by XX operators. The first circle is green and labeled 'A'. The last circle is also green. Ellipses between them indicate continuation.	$n$	quadratic (i.e. 2)	–	$\mathfrak{so}(2n+1)$
A sequence of circles connected by XX operators. The first circle is green and labeled 'A'. The last circle is yellow and labeled 'B'. Ellipses between them indicate continuation.	$n+1$	quadratic (i.e. 2)	–	$\mathfrak{so}(2n+2)$
A sequence of circles connected by XX operators. The first circle is yellow and labeled 'B'. The last circle is green and labeled 'A'. Ellipses between them indicate continuation.	$n$	up to $n$	–	$\mathfrak{so}(2^n)$
for $n \bmod 4 \in \{0, 1\}$	$n$	–	up to $n$	$\mathfrak{sp}(2^{n-1})$
for $n \bmod 4 \in \{2, 3\}$	$n$	–	up to $n$	$\mathfrak{su}(2^n)$
A sequence of circles connected by XX operators. The first circle is green and labeled 'A'. The second circle is yellow and labeled 'B'. Ellipses between them indicate continuation.	$n$	up to $n$	up to $n$	

NB: no. of spins maps into no. of levels (as in Jordan-Wigner transformation).

## Motivation

## I. Systems Theory

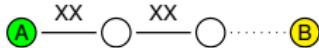
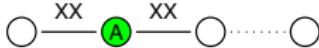
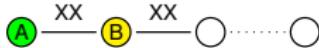
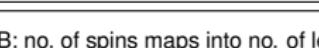
Simulability

Spin Systems

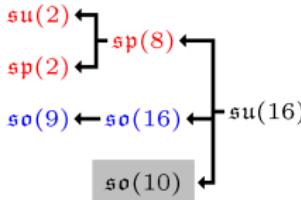
II. Relative  $W_C(A)$ 

## III. Gradient Flows

Conclusions &  
Outlook

system type	no. of levels	'fermionic' order of coupling	'bosonic'	system alg.
$n$ -spins- $\frac{1}{2}$				
	$n$	quadratic (i.e. 2)	—	$\mathfrak{so}(2n+1)$
	$n+1$	quadratic (i.e. 2)	—	$\mathfrak{so}(2n+2)$
	$n$	up to $n$	—	$\mathfrak{so}(2^n)$
for $n \bmod 4 \in \{0, 1\}$				
	$n$	—	up to $n$	$\mathfrak{sp}(2^{n-1})$
for $n \bmod 4 \in \{2, 3\}$				
	$n$	up to $n$	up to $n$	$\mathfrak{su}(2^n)$

NB: no. of spins maps into no. of levels (as in Jordan-Wigner transformation).





# Numerical Range and C-Numerical Range

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Classical features of  $W(A)$  and  $W(C, A)$ :

- $W(A)$  and  $W(C, A)$  are *compact* and *connected*.  
GOLDBERG & STRAUSS 1977
- $W(A)$  is *convex*. HAUSDORFF 1919, TOEPLITZ 1918
- $W(C, A)$  is *star-shaped*. CHEUNG & TSING '96
- $W(C, A)$  is *convex* if  $C$  or  $A$  Hermitian. WESTWICK '75
- $W(C, A)$  is a *circular disk centered at the origin* if  $C$  or  $A$  are unitarily similar to block-shift form  
LI & TSING '91



# The Relative $C$ -Numerical Range

Restricted Quantum Control

with G. Dirr & U. Helmke

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Definition (Lin. Multin. Alg. **56** (2008) 3–26 and 27–51 )

The *relative  $C$ -numerical range* is the set

$$W_{\mathbf{K}}(C, A) := \{\operatorname{tr}(C^\dagger KAK^\dagger) \mid K \in \mathbf{K} \subsetneq SU(N)\} \subseteq W_C(A),$$

where the unitary orbit is **restricted** to a **subgroup  $\mathbf{K}$** .

Ex.:*local operations*  $K \in SU(2) \otimes SU(2) \otimes \cdots \otimes SU(2)$

Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

Conclusions &  
OutlookDefinition (Lin. Multin. Alg. **56** (2008) 3–26 and 27–51 )

The *relative  $C$ -numerical range* is the set

$$W_{\mathbf{K}}(C, A) := \{\operatorname{tr}(C^\dagger KAK^\dagger) \mid K \in \mathbf{K} \subsetneq SU(N)\} \subseteq W_C(A),$$

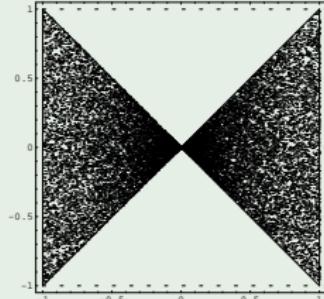
where the unitary orbit is **restricted** to a **subgroup  $\mathbf{K}$** .

Ex.:*local operations*  $K \in SU(2) \otimes SU(2) \otimes \cdots \otimes SU(2)$

Example (I non convex)

$$A := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 1+i & 0 \\ 0 & 1-i \end{pmatrix}$$

$$C := \operatorname{diag}(1, 0, 0, 0)$$



Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

Conclusions &  
OutlookDefinition (Lin. Multin. Alg. **56** (2008) 3–26 and 27–51 )

The *relative  $C$ -numerical range* is the set

$$W_{\mathbf{K}}(C, A) := \{\operatorname{tr}(C^\dagger KAK^\dagger) \mid K \in \mathbf{K} \subsetneq SU(N)\} \subseteq W_C(A),$$

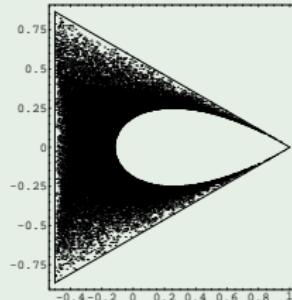
where the unitary orbit is **restricted** to a **subgroup  $\mathbf{K}$** .

Ex.:*local operations*  $K \in SU(2) \otimes SU(2) \otimes \cdots \otimes SU(2)$

Example (II neither star-shaped nor simply connected)

$$A := \begin{pmatrix} 1 & 0 \\ 0 & e^{2i\pi/3} \end{pmatrix}^{\otimes 3}$$

$$C := \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}^{\otimes 3}$$



Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

Conclusions &  
OutlookDefinition (Lin. Multin. Alg. **56** (2008) 3–26 and 27–51 )The *relative  $C$ -numerical range* is the set

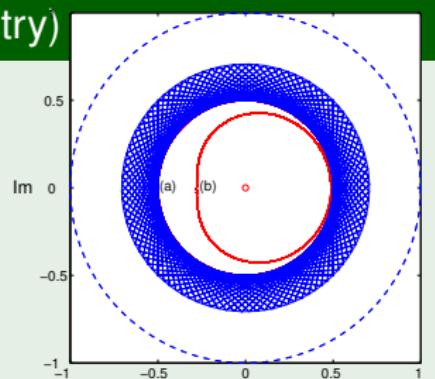
$$W_{\mathbf{K}}(C, A) := \{\operatorname{tr}(C^\dagger KAK^\dagger) \mid K \in \mathbf{K} \subsetneq SU(N)\} \subseteq W_C(A),$$

where the unitary orbit is **restricted** to a **subgroup  $\mathbf{K}$** .Ex.:*local operations*  $K \in SU(2) \otimes SU(2) \otimes \cdots \otimes SU(2)$ 

Example (III distinct circ. symmetry)

$$A := \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix},$$

$$C := \operatorname{diag}(1 \ 0 \ 0 \ 0)$$



# The Relative $C$ -Numerical Range

## Restricted Quantum Control

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

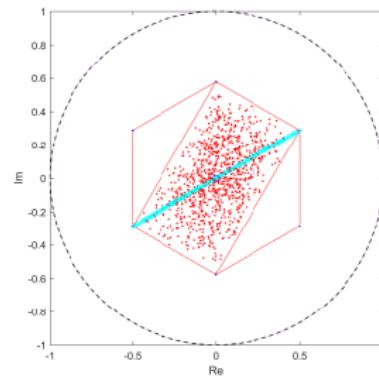
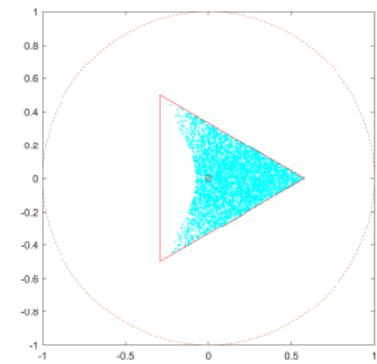
Conclusions &  
Outlook

### Example (IV $\mathbf{K} = USp(4/2)$ vs $SO(4)$ vs $SU(2)^{\otimes 2}$ )

$$A = \frac{1}{\sqrt{3}} \text{diag}(0, e^{i2\pi/3}, e^{i4\pi/3}, 1)$$

$$C = \text{diag}(1, 0, 0, 0)$$

$$C = \frac{1}{2} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$



# The Relative $C$ -Numerical Range

## Restricted Quantum Control

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

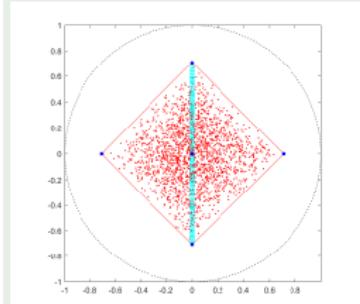
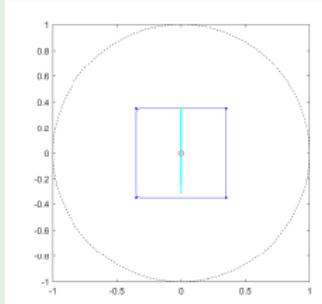
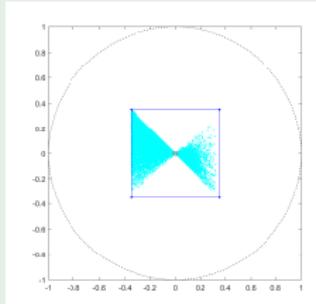
III. Gradient Flows

Conclusions &  
Outlook

Example ( $V \ K = USp(4/2)$  vs  $SO(4)$  vs  $SU(2)^{\otimes 2}$ )

$$A = \frac{1}{\sqrt{8}} \text{diag}(1+i, 1-i, -1-i, -1+i)$$

$$C = \text{diag}(1, 0, 0, 0) \quad C = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad C = \frac{1}{2} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} =: \frac{1}{2} J$$



# The Relative $C$ -Numerical Range

## Restricted Quantum Control

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

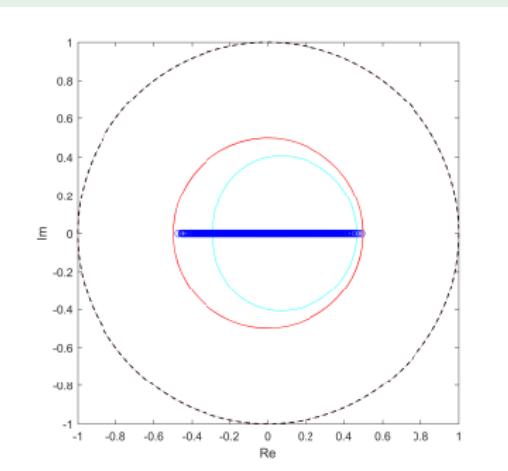
III. Gradient Flows

Conclusions &  
Outlook

Example (VI  $\mathbf{K} = \textcolor{red}{USp}(4/2)$  vs  $\textcolor{blue}{SO}(4)$  vs  $\textcolor{green}{SU}(2)^{\otimes 2}$  )

$$A = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$$

$$C = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} =: \frac{1}{2} K$$



# The Relative $C$ -Numerical Range

## Restricted Quantum Control

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

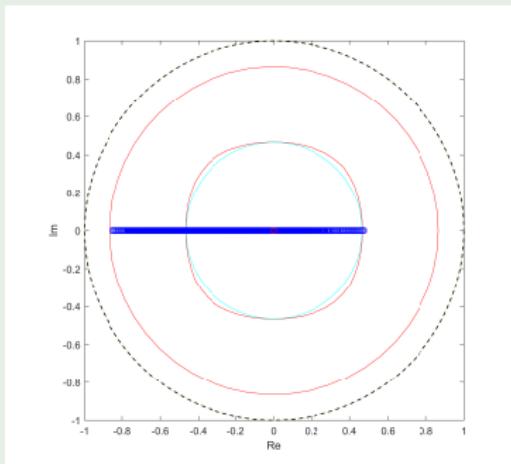
III. Gradient Flows

Conclusions &  
Outlook

Example (VII  $\mathbf{K} = USp(4/2)$  vs  $SO(4)$  vs  $SU(2)^{\otimes 2}$ )

$$A = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$C = \frac{1}{2} \begin{pmatrix} 0 & 0 & -1 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & 0 \end{pmatrix} = \frac{1}{2} KJ$$



# The Relative $C$ -Numerical Range

## Restricted Quantum Control

Motivation

I. Systems Theory

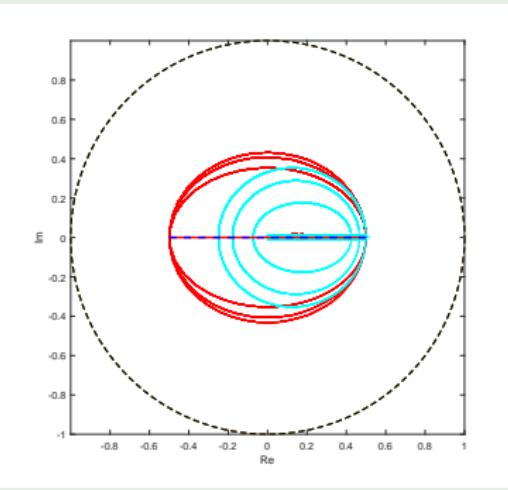
II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Example (VIII  $K = USp(4/2)$  vs  $SO(4)$  vs  $SU(2)^{\otimes 2}$ )

$$A \in \left\{ \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} \right\} \quad C = \frac{1}{2} K$$



# The Relative $C$ -Numerical Range

## Restricted Quantum Control

Motivation

I. Systems Theory

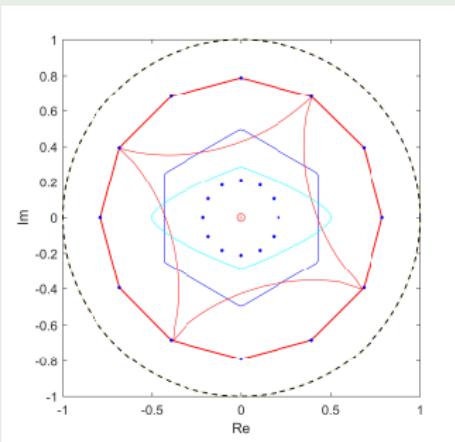
II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

### Example (IX $\mathbf{K} = USp(4/2)$ vs $SO(4)$ vs $SU(2)^{\otimes 2}$ )

$$A = \frac{1}{\sqrt{3}} \text{diag}(0, e^{i*2\pi/3}, e^{i*4\pi/3}, 1) \quad C = \frac{1}{2} \begin{pmatrix} 0 & 0 & -1 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & 0 \end{pmatrix} = \frac{1}{2} KJ$$



## Theorem

Let  $\mathbf{K}$  be a *compact connected subgroup of  $U(N)$*  with Lie algebra  $\mathfrak{k}$ , and let  $\mathfrak{t}$  be a torus algebra of  $\mathfrak{k}$ . Then the relative C-numerical range  $W_{\mathbf{K}}(C, A_+)$  of a matrix  $A_+ \in \text{Mat}_N(\mathbb{C})$  is a *circular disc centered at the origin* of the complex plane for all  $C \in \text{Mat}_N(\mathbb{C})$  if and only if there exists a  $K \in \mathbf{K}$  and a  $\Delta \in \mathfrak{t}$  such that  $KA_+K^\dagger$  is an eigenoperator to  $\text{ad}_\Delta$  with a non-zero eigenvalue

$$\text{ad}_\Delta(KA_+K^\dagger) \equiv [\Delta, KA_+K^\dagger] = ip(KA_+K^\dagger) \quad \text{and} \quad p \neq 0$$

## Theorem

Let  $\mathbf{K}$  be a *compact connected subgroup of  $U(N)$*  with Lie algebra  $\mathfrak{k}$ , and let  $\mathfrak{t}$  be a torus algebra of  $\mathfrak{k}$ . Then the relative C-numerical range  $W_{\mathbf{K}}(C, A_+)$  of a matrix  $A_+ \in \text{Mat}_N(\mathbb{C})$  is a *circular disc centered at the origin* of the complex plane for all  $C \in \text{Mat}_N(\mathbb{C})$  if and only if there exists a  $K \in \mathbf{K}$  and a  $\Delta \in \mathfrak{t}$  such that  $KA_+K^\dagger$  is an eigenoperator to  $\text{ad}_\Delta$  with a non-zero eigenvalue

$$\text{ad}_\Delta(KA_+K^\dagger) \equiv [\Delta, KA_+K^\dagger] = ip(KA_+K^\dagger) \quad \text{and} \quad p \neq 0$$

If  $KA_+K^\dagger$  is an eigenoperator of  $\text{ad}_\Delta$  to eigenvalue  $+ip$  and  $A_- := A_+^\dagger$ , then  $KA_-K^\dagger$  has eigenvalue  $-ip$ .

$A_+$  and  $A_-$  share the same relative C-numerical range of circular symmetry,  $W_{\mathbf{K}}(C, A_+) = W_{\mathbf{K}}(C, A_-)$ .

Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

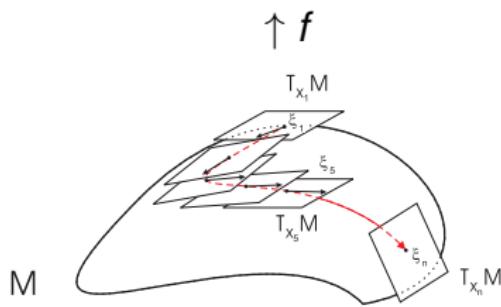
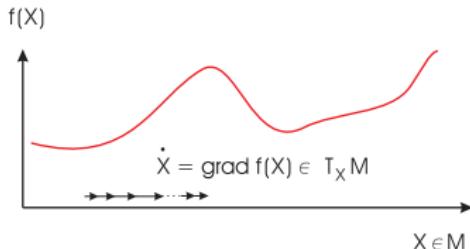
Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &amp;

Outlook



Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

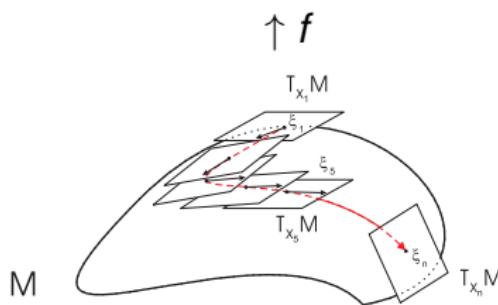
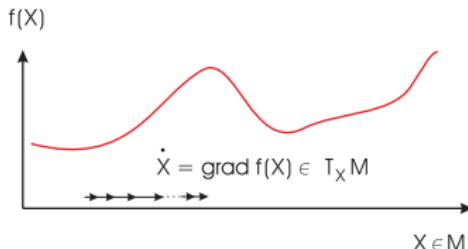
Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &amp;

Outlook



- quality function  $f : M \rightarrow \mathbb{R}, X \mapsto f(X)$   
**drives into (local) maximum by gradient flow**  
to  $\dot{X} = \text{grad } f(X)$  on  $M$



# Gradient-Flow on Compact Lie Groups

Construction in a Nutshell

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &

Outlook

Example:  $f(U) := \text{Re}\text{tr}\{C^\dagger UAU^\dagger\}$

write  $[\cdot, \cdot]_S$  as skew-herm. part

- calculate Lie derivative

$$Df(U)(\Omega U) = \langle [UAU^\dagger, C^\dagger]_S^\dagger U | \Omega U \rangle$$

identifying  $Df(U)(\Omega U) = \langle \text{grad } f(U) | \Omega U \rangle$ , where  
 $\xi \in T_U SU(N)$  reads  $\xi = \Omega U$  and  $\Omega \in \mathfrak{su}(N)$ ;

- obtain gradient vector field

$$\text{grad } f(U) = [UAU^\dagger, C^\dagger]_S^\dagger U$$

- integrate gradient system  $\dot{U} = \text{grad } f(U)$  by

- discretisation scheme  $U_{k+1} = e^{-\alpha_k [U_k A U_k^\dagger, C^\dagger]_S} U_k$



# Gradient-Flow on Compact Lie Groups

## Construction in a Nutshell

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &

Outlook

Example:  $f(U) := \text{Re}\text{tr}\{C^\dagger UAU^\dagger\}$

write  $[\cdot, \cdot]_S$  as skew-herm. part

- calculate Lie derivative

$$Df(U)(\Omega U) = \langle [UAU^\dagger, C^\dagger]_S^\dagger U | \Omega U \rangle$$

identifying  $Df(U)(\Omega U) = \langle \text{grad } f(U) | \Omega U \rangle$ , where  
 $\xi \in T_U SU(N)$  reads  $\xi = \Omega U$  and  $\Omega \in \mathfrak{su}(N)$ ;

- obtain gradient vector field

$$\text{grad } f(U) = [UAU^\dagger, C^\dagger]_S^\dagger U$$

- integrate gradient system  $\dot{U} = \text{grad } f(U)$  by

- discretisation scheme  $U_{k+1} = e^{-\alpha_k [U_k AU_k^\dagger, C^\dagger]_S} U_k$



# Gradient-Flow on Compact Lie Groups

Construction in a Nutshell

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &

Outlook

Example:  $f(U) := \text{Re}\text{tr}\{C^\dagger UAU^\dagger\}$

write  $[\cdot, \cdot]_S$  as skew-herm. part

- calculate Lie derivative

$$Df(U)(\Omega U) = \langle [UAU^\dagger, C^\dagger]_S^\dagger U | \Omega U \rangle$$

identifying  $Df(U)(\Omega U) = \langle \text{grad } f(U) | \Omega U \rangle$ , where  
 $\xi \in T_U SU(N)$  reads  $\xi = \Omega U$  and  $\Omega \in \mathfrak{su}(N)$ ;

- obtain gradient vector field

$$\text{grad } f(U) = [UAU^\dagger, C^\dagger]_S^\dagger U$$

- integrate gradient system  $\dot{U} = \text{grad } f(U)$  by

- discretisation scheme  $U_{k+1} = e^{-\alpha_k [U_k A U_k^\dagger, C^\dagger]_S} U_k$



# Gradient-Flow on Compact Lie Groups

## Construction in a Nutshell

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &

Outlook

Example:  $f(U) := \text{Re} \operatorname{tr}\{C^\dagger UAU^\dagger\}$

write  $[\cdot, \cdot]_S$  as skew-herm. part

- calculate Lie derivative

$$Df(U)(\Omega U) = \langle [UAU^\dagger, C^\dagger]_S^\dagger U | \Omega U \rangle$$

identifying  $Df(U)(\Omega U) = \langle \operatorname{grad} f(U) | \Omega U \rangle$ , where  
 $\xi \in T_U SU(N)$  reads  $\xi = \Omega U$  and  $\Omega \in \mathfrak{su}(N)$ ;

- obtain gradient vector field

$$\operatorname{grad} f(U) = [UAU^\dagger, C^\dagger]_S^\dagger U$$

- integrate gradient system  $\dot{U} = \operatorname{grad} f(U)$  by

- discretisation scheme  $U_{k+1} = e^{-\alpha_k [U_k A U_k^\dagger, C^\dagger]_S} U_k$

Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &amp;

Outlook

Generalised task:  
approximate  $A_0$  by elements on the **sum of orbits**

■ **unitary similarity:**  $\min_{U_j} \left\| \sum_{j=1}^N U_j A_j U_j^\dagger - A_0 \right\|_2^2$

■  **$N$  coupled gradient flows:**

$$U_{k+1}^{(j)} = \exp \left\{ -\alpha_k^{(j)} [A_k^{(j)}, A_{0jk}^\dagger]_S \right\} U_k^{(j)}$$

notations:

$$A_k^{(j)} := U_k^{(j)} A_j U_k^{(j)\dagger}; \quad A_{0jk} := A_0 - \sum_{\substack{\nu=1 \\ \nu \neq j}}^N A_k^{(\nu)}; \quad [\cdot, \cdot]_S \text{ skew-herm. part}$$

Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &amp;

Outlook

Generalised task:  
approximate  $A_0$  by elements on the **sum of orbits**

■ **unitary similarity:**  $\min_{U_j} \left\| \sum_{j=1}^N U_j A_j U_j^\dagger - A_0 \right\|_2^2$

■  **$N$  coupled gradient flows:**

$$U_{k+1}^{(j)} = \exp \left\{ -\alpha_k^{(j)} [A_k^{(j)}, A_{0jk}^\dagger]_S \right\} U_k^{(j)}$$

notations:

$$A_k^{(j)} := U_k^{(j)} A_j U_k^{(j)\dagger}; \quad A_{0jk} := A_0 - \sum_{\substack{\nu=1 \\ \nu \neq j}}^N A_k^{(\nu)}; \quad [\cdot, \cdot]_S \text{ skew-herm. part}$$

Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &amp;

Outlook

Generalised task:  
approximate  $A_0$  by elements on the **sum of orbits**

■ **unitary similarity:**  $\min_{U_j} \left\| \sum_{j=1}^N U_j A_j U_j^\dagger - A_0 \right\|_2^2$

■  **$N$  coupled gradient flows:**

$$U_{k+1}^{(j)} = \exp \left\{ -\alpha_k^{(j)} [A_k^{(j)}, A_{0jk}^\dagger]_S \right\} U_k^{(j)}$$

notations:

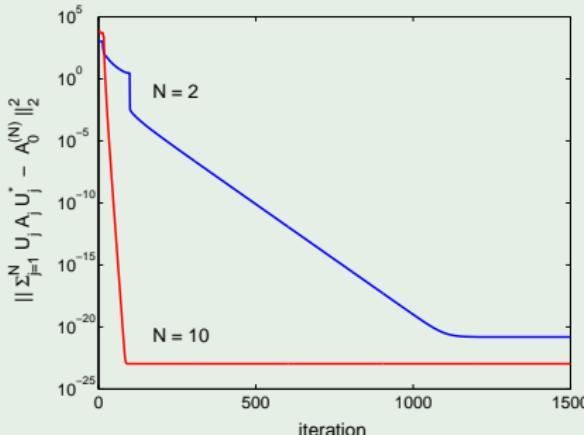
$$A_k^{(j)} := U_k^{(j)} A_j U_k^{(j)\dagger}; \quad A_{0jk} := A_0 - \sum_{\substack{\nu=1 \\ \nu \neq j}}^N A_k^{(\nu)}; \quad [\cdot, \cdot]_S \text{ skew-herm. part}$$

## Example (unitary similarity)

Define in  $\mathbb{C}^{10 \times 10}$ 

$$A_j := \text{diag}(1, 3, 5, \dots, 19) + \frac{j-1}{10} \mathbf{1}_{10}$$
$$A_0^{(N)} := \text{diag}(a_1, \dots, a_{10}),$$

where  $a_1, \dots, a_{10}$  are eigenvalues of  $\sum_{j=1}^N U_j^{(r)} A_j U_j^{(r)\dagger}$  with random unitaries (distributed by Haar measure). For  $N = 2$  and  $N = 10$  the above flows give:



Motivation

I. Systems Theory

II. Relative  $W_C(A)$ 

III. Gradient Flows

Abstract Optimisation

Flows on Lie Groups

Similarity Orbits

Conclusions &amp;

Outlook



# Conclusion for Reachable Sets

by Group Orbit

J. Math. Phys. 52, 113510 (2011)

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

no vs constant vs switchable noise  $\Gamma_L$

■ closed coherently controllable (cc) systems:

$\text{Reach } \rho_0 = \mathcal{O}_K(\rho_0) := \{K\rho_0 K^\dagger \mid K \in K \subseteq SU(N)\}$ ,  
where  $K = \exp \mathfrak{k}$  generated by system algebra  $\mathfrak{k}$

■ open systems, cc with constant Markovian noise  $\Gamma$ :

$\text{Reach } \rho_0 = S \text{vec } \rho_0$ , where

$S \simeq e^{A_\ell} e^{A_{\ell-1}} \dots e^{A_1}$  with  $A_1, A_2, \dots, A_\ell \in \mathfrak{m}$

■ open systems, cc with switchable Markovian noise:

- unital:  $\text{Reach } \rho_0 = \{\rho \in \mathfrak{pos}_1 \mid \rho \prec \rho_0\}$

- non-unital:  $\text{Reach } \rho_0 = \mathfrak{pos}_1$



# Conclusion for Reachable Sets

by Lie-Semigroup Orbit

IEEE TAC 57, 2050 (2012)

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

no vs constant vs switchable noise  $\Gamma_L$

- closed coherently controllable (cc) systems:  
 $\text{Reach } \rho_0 = \mathcal{O}_{\mathbf{K}}(\rho_0) := \{K\rho_0 K^\dagger \mid K \in \mathbf{K} \subseteq SU(N)\}$ ,  
where  $\mathbf{K} = \exp \mathfrak{k}$  generated by system algebra  $\mathfrak{k}$
- open systems, cc with constant Markovian noise  $\Gamma$ :  
 $\text{Reach } \rho_0 = \mathbf{S} \text{ vec } \rho_0$ , where  
 $\mathbf{S} \simeq e^{A_\ell} e^{A_{\ell-1}} \cdots e^{A_1}$  with  $A_1, A_2, \dots, A_\ell \in \mathfrak{w}$
- open systems, cc with switchable Markovian noise:
  - unital:  $\text{Reach } \rho_0 = \{\rho \in \mathfrak{pos}_1 \mid \rho \prec \rho_0\}$
  - non-unital:  $\text{Reach } \rho_0 = \mathfrak{pos}_1$



# Conclusion for Reachable Sets

by Unprecedented Noise Control

arXiv: 1206.4945 & 1605.06473

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

no vs constant vs switchable noise  $\Gamma_L$

- closed coherently controllable (cc) systems:  
 $\text{Reach } \rho_0 = \mathcal{O}_K(\rho_0) := \{K\rho_0 K^\dagger \mid K \in K \subseteq SU(N)\}$ ,  
where  $K = \exp \mathfrak{k}$  generated by system algebra  $\mathfrak{k}$
- open systems, cc with constant Markovian noise  $\Gamma$ :  
 $\text{Reach } \rho_0 = \mathbf{S} \text{ vec } \rho_0$ , where  
 $\mathbf{S} \simeq e^{A_\ell} e^{A_{\ell-1}} \dots e^{A_1}$  with  $A_1, A_2, \dots, A_\ell \in \mathfrak{w}$
- open systems, cc with switchable Markovian noise:
  - unital:  $\text{Reach } \rho_0 = \{\rho \in \mathfrak{pos}_1 \mid \rho \prec \rho_0\}$
  - non-unital:  $\text{Reach } \rho_0 = \mathfrak{pos}_1$

# The Semigroup $C$ -Numerical Range

## Full Open Systems Control

Motivation

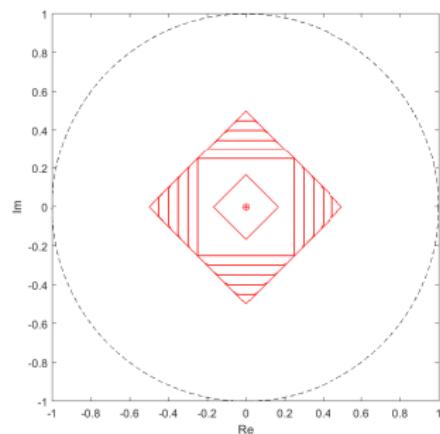
I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

### Example (Semigroup Orbit $\mathbf{S}_{LK}(\text{diag}(1, 0, 0, 0))$ )



$$C = \frac{1}{2} \text{diag}(1, i, -i, 1)$$

$$A = \text{diag}(1, 0, 0, 0)$$

$$\text{diag}(0.9, 0.1, 0, 0)$$

$$\text{diag}(0.8, 0.2, 0, 0)$$

$$\text{diag}(0.7, 0.3, 0, 0)$$

$$\text{diag}(0.6, 0.4, 0, 0)$$

$$\text{diag}(0.5, 0.5, 0, 0)$$

$$\frac{1}{3} \text{diag}(1, 1, 1, 0)$$

# The Semigroup $C$ -Numerical Range

## Full Open Systems Control

Motivation

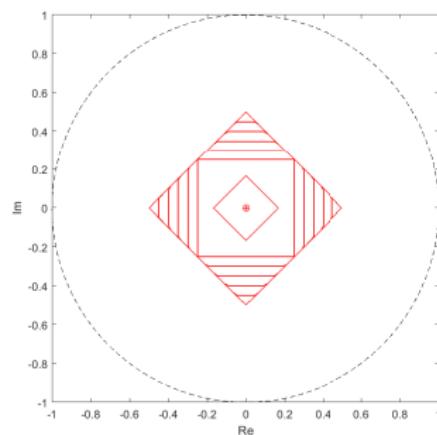
I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

### Example (Semigroup Orbit $\mathbf{S}_{LK}(\text{diag}(1, 0, 0, 0))$ )



$$C = \frac{1}{2} \text{diag}(1, i, -i, 1)$$

$$A = \text{diag}(1, 0, 0, 0)$$

$$\text{diag}(0.9, 0.1, 0, 0)$$

$$\text{diag}(0.8, 0.2, 0, 0)$$

$$\text{diag}(0.7, 0.3, 0, 0)$$

$$\text{diag}(0.6, 0.4, 0, 0)$$

$$\text{diag}(0.5, 0.5, 0, 0)$$

$$\frac{1}{3} \text{diag}(1, 1, 1, 0)$$

# The Semigroup $C$ -Numerical Range

## Full Open Systems Control

Motivation

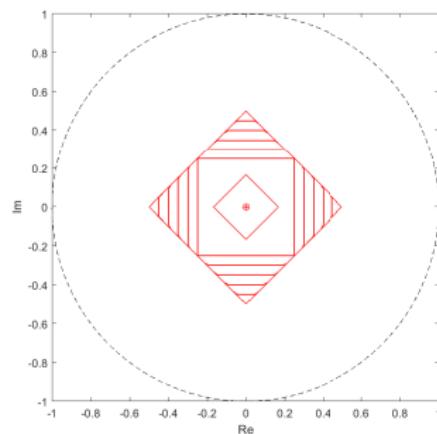
I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

### Example (Semigroup Orbit $\mathbf{S}_{LK}(\text{diag}(1, 0, 0, 0))$ )



$$C = \frac{1}{2} \text{diag}(1, i, -i, 1)$$

$$A = \text{diag}(1, 0, 0, 0)$$

$$\text{diag}(0.9, 0.1, 0, 0)$$

$$\text{diag}(0.8, 0.2, 0, 0)$$

$$\text{diag}(0.7, 0.3, 0, 0)$$

$$\text{diag}(0.6, 0.4, 0, 0)$$

$$\text{diag}(0.5, 0.5, 0, 0)$$

$$\frac{1}{3} \text{diag}(1, 1, 1, 0)$$

# The Semigroup $C$ -Numerical Range

## Full Open Systems Control

Motivation

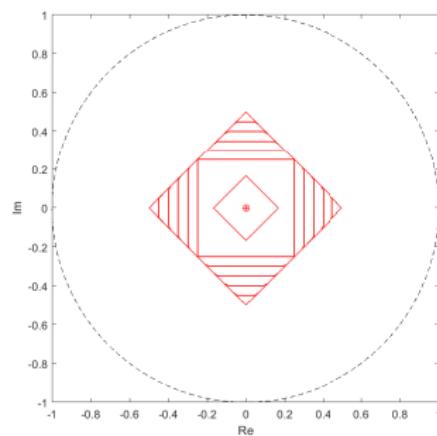
I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

### Example (Semigroup Orbit $\mathbf{S}_{LK}(\text{diag}(1, 0, 0, 0))$ )



$$C = \frac{1}{2} \text{diag}(1, i, -i, 1)$$

$$A = \text{diag}(1, 0, 0, 0)$$

$$\text{diag}(0.9, 0.1, 0, 0)$$

$$\text{diag}(0.8, 0.2, 0, 0)$$

$$\text{diag}(0.7, 0.3, 0, 0)$$

$$\text{diag}(0.6, 0.4, 0, 0)$$

$$\text{diag}(0.5, 0.5, 0, 0)$$

$$\frac{1}{3} \text{diag}(1, 1, 1, 0)$$



# Conclusions & Outlook

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

## 1 *Relative C-Num. Ranges* $W_K(C, A) := \text{tr}\{C^\dagger K A K^\dagger\}$

- reflect specific quantum dynamics (via  $K = \exp(\mathfrak{k})$ )
- come with a geometry not fully explored yet
- can naturally be generalised to projections of semigroup orbits in the sense  $W_S(C, A) := \langle C | S A \rangle$

## 2 *Gradient Flows* on Riem. Manifolds & Lie Groups

- powerful tool for geometric optimisation and control
- determine Kraus-rank, decide error correctability



# Conclusions & Outlook

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

## 1 *Relative C-Num. Ranges* $W_K(C, A) := \text{tr}\{C^\dagger K A K^\dagger\}$

- reflect specific quantum dynamics (via  $K = \exp(\mathfrak{k})$ )
- come with a geometry not fully explored yet
- can naturally be generalised to projections of semigroup orbits in the sense  $W_S(C, A) := \langle C | S A \rangle$

## 2 *Gradient Flows* on Riem. Manifolds & Lie Groups

- powerful tool for geometric optimisation and control
- determine Kraus-rank, decide error correctability



# Acknowledgements

Thanks go to:

Motivation

I. Systems Theory

II. Relative  $W_C(A)$

III. Gradient Flows

Conclusions &  
Outlook

Gunther Dirr, Uwe Helmke<sup>†</sup>, Robert Zeier

Chi-Kwong Li, Yiu-Tung Poon, Frederik vom Ende

integrated EU programme; excellence network; DFG research group NV  
centres



## References:

- Lin. Multilin. Alg. **56**, 3 (2008), Lin. Multilin. Alg. **56**, 27 (2008),  
Rev. Math. Phys. **22**, 1–71 (2010), Math. Comput. **80**, 1601 (2011)  
J. Math. Phys. **52**, 113510 (2011) and **56**, 081702 (2015)  
Phys. Rev. A **92**, 042309 (2015), arXiv: 1605.06473  
Open Syst. Info. Dyn. **24** 1740019 (2017)